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Original article A PCT algorithm for discontinuation of antibiotic therapy is a cost-effective way to reduce antibiotic exposure in adult intensive care patients with sepsis

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51 Abbreviations:

- 52 CAP, community acquired pneumonia; ICU, intensive
- care unit; IQR, interquartile range; NZA, Nederlandse
 Zorg Autoriteit (Dutch Healthcare Authority); PCT, pro-
- 54 calcitonin; PSA, probabilistic sensitivity analysis; RCT,
- 55 randomized controlled trial; SD, standard deviation

Abstract

Objective:

Procalcitonin (PCT) is a specific marker for differentiating bacterial from non-infective causes of inflammation. It can be used to guide initiation and duration of antibiotic therapy in intensive care unit (ICU) patients with suspected sepsis, and might reduce the duration of hospital stay. Limiting antibiotic treatment duration is highly important because antibiotic over-use may cause patient harm, prolonged hospital stay, and resistance development. Several systematic reviews show that a PCT algorithm for antibiotic discontinuation is safe, but upfront investment required for PCT remains an important barrier against implementation. The current study investigates to what extent this PCT algorithm is a cost-effective use of scarce healthcare resources in ICU patients with sepsis compared to current practice.

Methods:

A decision tree was developed to estimate the health economic consequences of the PCT algorithm for antibiotic discontinuation from a Dutch hospital perspective. Input data were obtained from a systematic literature review. When necessary, additional information was gathered from open interviews with clinical chemists and intensivists. The primary effectiveness measure is defined as the number of antibiotic days, and cost-effectiveness is expressed as incremental costs per antibiotic day avoided.

Results:

 The PCT algorithm for antibiotic discontinuation is expected to reduce hospital spending by circa €3503
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 per patient, indicating savings of 9.2%. Savings are mainly due to reductions in length of hospital stay, number of blood cultures performed, and, importantly, days on antibiotic therapy. Probabilistic and one-way sensitivity analyses showed the model outcome to be robust against changes in model inputs.
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Conclusion:

Proven safe, a PCT algorithm for antibiotic discontinuation is a cost-effective means of reducing antibiotic exposure in adult ICU patients with sepsis, compared to current practice. Additional resources required for PCT are more than offset by downstream cost savings. This finding is highly important given the aim of preventing widespread antibiotic resistance.

Background

Despite advances in medical technology and clinical care, sepsis remains a common cause of morbidity and mortality among hospitalized patients¹. 108 Diagnosing patients with sepsis is challenging, due to the often non-specific presentation². Yet, early diagnosis of infection and rapid initiation of adequate 110 antimicrobial therapy are critical for successful treatment
outcome³. While the use of antibiotics has led to great
reductions in mortality and morbidity rates among sepsis
patients, antibiotic over-use should be avoided as this may
cause patient harm and prolonged hospital stay, and plays
a role in the development of widespread antibiotic

117 resistance^{4,5}.

118 A biomarker that might improve the efficient and more 119 judicious use of antibiotic therapy by monitoring the progression and prognosis of bacterial infections and sepsis is 120 121 procalcitonin (PCT), a precursor of calcitonin. PCT 122 elevation occurs within 2-4 h after onset of the inflamma-123 tory disorder, typically peaks in the second day, and falls rapidly during clinical recovery. The magnitude and 124 125 duration of PCT elevation correlate with injury severity 126 and prognosis. While PCT may also be elevated in viral 127 and fungal infections (e.g., candidemia), this is generally much less so than with bacterial infections⁶. 128

129 Several studies have assessed the added value of using PCT to monitor and manage antibiotic therapy in septic 130 intensive care unit (ICU) patients, as well as in reducing 131 the duration of hospital stay^{7–9}. A number of systematic 132 reviews have shown that a PCT discontinuation algorithm 133 is safe and may even improve clinical outcomes¹⁰⁻¹². Yet, 134 the upfront investment for PCT testing compared to other 135 laboratory assays remains a barrier against implementa-136 137 tion. Therefore, analysis of the impact of PCT testing on 138 in-hospital mortality, number of antibiotic days, duration 139 of hospitalization, and total costs of sepsis care is 140 warranted.

The goal of the current study is to investigate to what
extent this PCT algorithm is a cost-effective use of scarce
healthcare resources in ICU patients with sepsis compared
to current practice. A model-based analysis was performed
based on a systematic review of the literature published
until mid-2014.

In addition to previously published cost-effectiveness
analyses in this patient population^{13,14}, this study explicitly considers the impact of PCT testing on hospital
length of stay and on specific clinical outcomes, and
reports costs from a non-US perspective.

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154 Methods

A decision tree was developed to estimate the health eco-156 nomic consequences of a PCT algorithm for antibiotic 157 158 discontinuation in a hypothetical population of adult 159 ICU patients with sepsis. The analysis was performed from a Dutch hospital perspective. The time horizon of 160 the model covers the duration of a patient's hospital stay. 161 All relevant health economic impacts of hospital stay and 162 accompanying treatment were incorporated, and com-163 164 pared to current practice. The primary effectiveness measure was defined as the number of antibiotic days in both 165

the PCT strategy and current practice. In this analysis, the 166 total direct hospital costs were balanced against the 167 number of antibiotic days avoided. The Incremental 168 Cost Effectiveness Ratio (ICER) was expressed as incre-169 mental costs per antibiotic day avoided and calculated as 170 the difference in direct healthcare costs, between the PCT 171 strategy and current practice, divided by number of anti-172 biotic days avoided by the PCT strategy. 173

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Literature review

177 A systematic literature review was performed to determine 178 to what extent a PCT algorithm affects the number of 179 antibiotics days, ICU length of stay, total duration of hos-180 pital stay, number of days on mechanical ventilation and/ 181 or dialysis, number of blood cultures and other lab analyses 182 performed, as well as patient safety which is expressed as 183 in-hospital mortality rates. The PubMed database was 184 searched for relevant articles that reported outcomes on 185 at least one of those parameters. The following combin-186 ations of terms were searched in all fields: (algorithm OR 187 guide OR guided OR based) AND (sepsis OR septic shock OR 188 critically ill) AND (PCT or procalcitonin) AND (antibiotic 189 OR antibiotics). The search was limited to articles pub-190 lished in English or Dutch, and was restricted to rando-191 mized controlled trials (RCTs), meta-analyses, and 192 systematic reviews. Articles were excluded when they 193 did not focus on: (1) adult patients, (2) sepsis or critically 194 ill patients on the ICU, and (3) the added value of a PCT 195 algorithm for antibiotic discontinuation. Relevant articles 196 were initially selected based on title and abstract. After 197 that, full texts were reviewed to assess whether the 198 papers met the inclusion criteria. The literature search 199 was performed in July 2014. Mean values and standard 200 deviations (SDs) were obtained from each of the individ-201 ual studies where possible. For studies in which no mean or 202 SDs could be obtained, estimates of mean and SDs were 203 calculated according to Hozo et al.¹⁵. Following this, 204 weighted mean differences were calculated using Review 205 Manager version 5.1, combining the sample sizes of the 206 studies included with the mean and standard deviations 207 of each parameter (see Supplementary Additional file 1) 208 using a random effects model¹⁶. 209

Resource use

Data concerning the length of a patient's hospital stay 213 (both on the ICU and on the general ward), as well as 214 the duration of antibiotic treatment in both the PCT strat-215 egy and in current practice, have been derived from the 216 systematic literature review, as described above. In add-217 ition, the change in the duration of mechanical ventila-218 tion has also been derived from this review. The 219 percentage of patients with sepsis that are treated with 220

mechanical ventilation and/or dialysis, as well as the dur-221 222 ation of each, were derived from a retrospective database analysis of ICU patients (age > 16 years) performed by 223 Adrie *et al.*¹⁷. The percentage of patients in whom a 224 blood culture is performed and who are finally diagnosed 225 as having sepsis was derived from an observational cohort 226 study by Shapiro et al.¹⁸. A prospective cohort study by 227 Müller et al.¹⁹ found that PCT measurement is an accurate 228 229 parameter for predicting bacteraemia in patients with 230 community acquired pneumonia (CAP), and that it has 231 the potential to reduce the number of blood cultures drawn 232 from hospitalized patients with suspected CAP. Because 233 pneumonia is a common site of infection for $sepsis^{17}$, the percentage of patients with suspected sepsis in whom a 234 235 blood culture was performed (both with and without 236 PCT) as well as the number of sets of blood cultures 237 taken per patient, were derived from Müller et al.¹⁹. The 238 number of PCT measurements performed in ICU patients 239 with sepsis was estimated based on RCTs by Stocker et al.²⁰ and Schuetz et al.²¹. For the percentage of patients treated 240 241 with antibiotics, and the frequency at which laboratory 242 tests (other than the PCT test) are ordered in ICU patients 243 with sepsis, no single estimate could be obtained from the 244 literature. Therefore, those were estimated based on 245 qualitative interviews with intensivists (n = 2) and clinical 246 chemists (n = 5). An overview of the resource use param-247 eters, the data sources, and assumptions that served as 248 input for the model is provided in Table 1.

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251 Unit costs

The model incorporates costs of diagnostic testing (i.e., blood cultures, PCT testing, and other routinely performed laboratory tests), hospital stay on ICU and general ward, antibiotic therapy, mechanical ventilation, and dialysis. Unit costs of blood cultures performed were derived from publications by Müller et al.¹⁹ and Van Nieuwkoop et al.²². 276 Tariffs for laboratory tests were derived from the Dutch 277 Healthcare Authority (Nederlandse Zorgautoriteit, 278 NZA)²³. Because no such tariff currently exists for PCT, 279 those costs were based on interviews with clinical chemists 280 and intensivists. Unit costs of hospital stay, separate for 281 ICU stay and stay on a general ward, mechanical ventila-282 tion, and dialysis were derived from the Dutch Healthcare 283 Authority²⁴ and the costing manual by Hakkaart-van 284 Roijen et al.²⁵. Mean daily costs for antibiotic treatment 285 were obtained from Vandijck et al.²⁶. All costs were con-286 verted to 2013 Euros, using Dutch consumer price index 287 levels²⁷. Because the time horizon of the model concerns 288 the duration of a patient's hospital stay, lasting shorter 289 than 1 year, discounting is not required. A summary of 290 all cost inputs used in the model is provided in Table 2. 291 Direct hospital costs are calculated by multiplying resource 292 293 use with the accompanying unit costs. 294

Sensitivity analyses

To determine the joint decision uncertainty, a probabilistic sensitivity analysis (PSA) was performed with 10,000 model runs, in which random samples are drawn from all input parameters simultaneously based on pre-defined parameter distributions. Distributions were parameterized based on the observed parameter mean and on the observed or assumed standard error²⁸. Beta distributions were fitted to the probability parameters, and Gamma distributions to the resource use parameters²⁸.

To identify which individual parameters drive the model outcome we conducted a one-way sensitivity analysis. For each parameter, the impact of a change in the base case value across a pre-determined range on the ICER (i.e., costs per antibiotic day avoided) was analysed. Parameters concerning resource use that were obtained

Table 1. Resource use. Overview of resource use in the model, showing the values used in the model, and the values applied in the one-way sensitivity analysis in brackets. The right column shows the references used to obtain an estimate for each parameter.

Parameter		Value	Reference	
\sim	Without PCT	With PCT		
Percentage treated with antibiotics*	100.0%	100.0% (75–100.0%)	Expert opinion	
Percentage treated with mechanical ventilation	77.0%	77.0% (57.8–96.3%)	Adrie <i>et al.</i> ¹⁷	
Percentage treated with dialysis	16.0%	16.0% (12–20%)	Adrie <i>et al.</i> ¹⁷	
Days on dialysis	5.0	5.0 (3.75-6.25)	Adrie <i>et al.</i> ¹⁷	
Percentage of patients with (suspected) sepsis in whom a blood culture is taken	97.5%	61.4% (79.5–43.4%)	Müller <i>et al</i> . ¹⁹	
Percentage of patients with blood culture performed, diagnosed as having sepsis	8.2%	8.2% (6.1–10.2%)	Shapiro <i>et al.</i> ¹⁸	
Sets of blood cultures taken per patient with (suspected) sepsis	2	2 (1.5–2.5)	Müller et al. ¹⁹	
Frequency of laboratory tests ordered per patient*	25.1	21.8 (23.4-20.1)	Expert opinion	
Number of PCT measurements performed per patient**	0	5 (2.5–7.5)	Stocker et al.20	
			Schuetz et al. ²¹	

*Expert opinions were obtained via interviews with intensivists (n = 2) and clinical chemists (n = 5).

274 **Because these publications both report that four-to-five PCT measurements are performed in neonates with suspected sepsis and patients with lower respiratory
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tract infections, respectively, an estimate of five PCT measurements is assumed to be a conservative estimate for adult ICU patients with sepsis.

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Table 2. Cost parameters. Overview of cost parameters used in the model. The right column shows the

359 Figure 1. Overview of included and excluded articles. This figure shows an overview of the selection process of the studies included in the systematic review. *Consisting of 11 reviews (of which 8 meta-analyses), and 1 economic evaluation. 360

361 from the literature review (ICU days, general ward days, 362 duration of antibiotics, and duration of mechanical venti-363 lation) were all varied with 1 SD below and above the 364 mean. 365

Parameters that are not directly affected by PCT imple-366 mentation but which are to some extent uncertain in both 367 strategies were varied, with 25% below and above the 368 mean in the PCT strategy. In the remaining parameters 369 for which an effect due to the PCT algorithm compared to 370 current practice was expected, this effect was increased 371 and decreased with 50% in the PCT strategy (Table 1). 372 The results of this sensitivity analysis are shown in a 373 374 tornado diagram, in which the impact of each parameter is sorted by decreasing impact on the ICER (i.e., costs 375 per antibiotic day avoided). 376

379 Results 380

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381 Systematic review 382

The search strategy initially resulted in 27 articles. Based 383 384 on the exclusion criteria, five articles were excluded because they did not focus on sepsis or critically ill patients 385

at the ICU, three articles were excluded because they 417 focused on infants or new-borns instead of adults, and 418 two articles were excluded because they either focused 419 specifically on point-of care testing or on the comparison 420 with another laboratory marker instead of focusing on cur-421 rent practice. Finally, this resulted in the identification of 422 11 reviews (of which eight meta-analyses), five original 423 RCTs and one economic evaluation of PCT. As the sum-424 marized or pooled data from the reviews and economic 425 evaluation did not exactly match the data specification 426 as needed for our model, the reviews and the economic 427 evaluation were hand searched to find the relevant 428 original studies (RCTs) to directly obtain the relevant ori-429 ginal data. In addition to five RCTs already identified, this 430 hand search yielded one additional RCT, amounting to a 431 total of six unique RCTs that were included^{7-9,29-31}. 432 Figure 1 shows a flow chart of the search strategy. 433 Review Manager was used to calculate pooled estimates 434 of the duration of ICU and general ward stay, duration of 435 mechanical ventilation, duration of antibiotic treatment, 436 and in-hospital mortality. Mean values and SDs were 437 obtained from each of the individual studies where pos-438 sible. In one study, no means or SDs were reported²⁹. 439 Because the sample size of this study was sufficiently 440

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441	Table 3. Result of systematic literature review, showing the values used in the model, and the values applied in the one-way sensitivity analysis (\pm 1 SD) in
442	brackets. The right column shows the references used.

Parameter		Value	Reference			
	Without PCT	With PCT				
General ward davs	11.3	9.0 (6.0–12.0)	Nobre et al. ⁹ , Bouadma et al. ³⁰ , Annane et al. ³¹ , Deliberato et al. ²⁹			
ICU days	13.8	12.7 (11.5–13.9)	Nobre et al. ⁹ , Hochreiter et al. ⁸ , Schroeder et al. ⁷ , Bouadma et al. ³⁰ Annane et al. ³¹ . Deliberato et al. ²⁹			
Days on antibiotics	11.6	9.9 (9.4–10.3)	Nobre et al. ⁹ , Hochreiter et al. ⁸ , Schroeder et al. ⁷ , Bouadma et al. ³⁰ Annane et al. ³¹ , Deliberato et al. ²⁹			
Days on mechanical ventilation	10.0	10.6 (9.9–11.6)	Annane et al. ³¹ , Bouadma et al. ³⁰ , Adrie et al. ¹⁷			

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⁴⁵⁵ large, the median was assumed to be the best estimate of the mean according to Hozo *et al.*¹⁵, who also state that the accompanying SD can be calculated by dividing the interquartile range (IQR) by a factor of 1.35 (SD = IQR/1.35)^{15,16}.

462 463 **Cost-effectiveness**

Five studies reported in-hospital mortality rates and showed no statistically significant difference between the PCT strategy and current practice (OR = 0.83; 95% CI = 0.49-1.38)^{7-9,29,31}. Therefore, equal in-hospital mortality rates of the PCT algorithm compared to current practice were applied.

The PCT algorithm applied varied between studies, 470 using either a decrease in the peak PCT value (ranging 471 from 20-90%), and/or a decrease in the absolute value 472 of PCT (ranging from $< 0.1 \,\mu\text{g/L}$ to $< 1 \,\mu\text{g/L}$)^{7–9,29–31} 473 Regarding the primary effectiveness parameter, a statis-474 tically significant reduction in antibiotic days with a 475 mean of 1.71 days (95% CI = -2.67, -0.74) was 476 found^{7-9,29-31}. Implementation of PCT testing showed 477 a trend towards a decrease in overall hospital length 478 of stay of on average 3.34 days (95% CI = -9.38, 479 $(2.69)^{9,29-31}$ as well as a decrease in the duration of 480 ICU stay of 1.08 days (95% CI = -3.52, 1.36)^{7-9,29-31}. 481 Therefore, a decreased length of stay on the general 482 ward of 3.34-1.08 = 2.26 days is expected. Two studies 483 reported the effect of the PCT algorithm on the dur-484 ation of mechanical ventilation^{30,31}, with a weighted 485 mean increase of 0.71 days (95% CI = -1.00, 2.42) 486 per 12.0 days. Combined with the baseline number of 487 10.0 mechanical ventilation days as reported by Adrie 488 et al.¹⁷, the PCT strategy is associated with a weighted 489 increase of 0.59 mechanical ventilation days. None of 490 the included studies reported an effect of a PCT algo-491 rithm on the duration of dialysis. A summary of the 492 parameter inputs based on the systematic review, as 493 494 well as the range applied in the one-way sensitivity analysis (mean ± 1 SD) is provided in Table 3. The forest 495

plots of these parameters are shown in Supplementary Additional file 1.

512 A PCT algorithm to guide antibiotic discontinuation is 513 expected to reduce direct hospital costs per adult ICU 514 patient with sepsis from \in 37,917 to \in 34,414, a decrease 515 of \in 3503 (-9.2%). This cost reduction is achieved with a 516 1.7 day reduction in duration of antibiotic use, i.e. from 517 11.6 to 9.9 days, and this translates into an incremental 518 cost saving of €2043 per antibiotic day avoided. On a 519 national level it is estimated that \sim 13,000 adult ICU 520 patients in the Netherlands are diagnosed with sepsis 521 each year, indicating a potential cost saving of almost 46 522 million Euros per year³². An overview of those results is 523 shown in Table 4. Of the €3503 cost savings per patient, a 524 decrease of €3132 is attributable to the reduced hospital 525 length of stay. In the conservative scenario where the 526 reduction of length of stay is fully ignored, as is the accom-527 panying decrease in laboratory tests that are assumed to be 528 performed once-daily (i.e., savings of $\in 82$), overall direct 529 hospital costs are still estimated to decrease with €289 per 530 patient (i.e. €3503 - €3132 - €82 = €289). Those cost 531 savings are mainly achieved by a decrease in the number of 532 blood cultures performed and the duration of antibiotic 533 therapy. 534

The decision uncertainty surrounding the incremental 535 cost-effectiveness ratio (ICER; point estimate €2043 per 536 antibiotic day avoided), as depicted in Figure 2, is low, as 537 82% (i.e., 8167/10 000) of Monte Carlo simulations indi-538 cate that PCT reduces the duration of antibiotic treatment 539 while saving costs compared to current practice. Only 18% 540 (1771/10,000) of the simulations suggest that PCT would 541 be more expensive while being more effective in reducing 542 the number of antibiotic days. 543

One-way sensitivity analysis was performed to estimate the impact of changes in individual input parameters on the difference in costs of the PCT strategy compared to current practice. Results are summarized in a tornado diagram (Figure 3). This figure illustrates that the net change in ICU and general ward days have the largest impact on costs. An overview of all results of the Table 4. Model results. The costs per patient with sepsis at the ICU, split up for each of the aspects of the treatment. Overall costs are shown both per patient and for the estimated yearly number of ICU patients with sepsis in the Netherlands ($n = 13\ 000$)³². Numbers may not add up due to rounding.



one-way sensitivity analysis is provided in
Supplementary Additional file 2.

⁵⁹⁵ Discussion

This study shows that the upfront investments in PCT testing should not be considered in isolation, but as part of the whole pathway of care a patient receives. Our results indicate that, although PCT requires additional invest-ments, those are more than offset against downstream cost savings due to a reduced duration of hospital stay and accompanying treatment. PCT to guide antibiotic dis-continuation in adult ICU patients with sepsis is expected to reduce the number of antibiotic days and save costs

without compromising patient outcomes. As such, this study adds new insights to the very recent evidence base regarding cost-effectiveness of PCT testing in different patient populations and settings. For example, Harrison and Collins (2015) found that the use of a PCT guided treatment algorithm dominated current practice with improved quality-of-life and decreased overall treatment costs in a US cohort of adult ICU patients with suspected bacterial infection and sepsis¹⁴. Notably, their analysis does not take the impact of PCT testing on hospital length of stay into account, which our study showed to be a very important driver of cost savings.

A couple of aspects of our analysis warrant further attention. First, none of the RCTs included showed a difference in in-hospital mortality for the PCT algorithm

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661		Base case	Lower	Upper		716
662		model input with PCT	limit	limit		717
663	Effect on ICU days	12.7	11.5	13.9		718
661	Effect on regular ward days	9.0	6.0	12.0		- 710
004	Effect on percentage mechanical ventilation	77%	58%	96%		/15
665	Blood cultures performed in patients diagnosed as having sepsis	8.2%	6.1%	10.2%		720
	Effect on percentage of patients in whom a blood culture is performed	61%	79%	43%		
666	Effect on days mechanical ventilation	10.6	9.9	11.6		721
667	Sets of blood cultures performed per patient	2.0	1.5	2.5		722
	Percentage receiving antibiotics	100%	75%	100%		
668	Effect on antibiotic days	9.9	9.4	10.3	= Lower	723
669	Number of days on dialysis therapy	5.0	3.8	6.3		724
007	Percentage of patients on dialysis therapy	16%	12%	20%	- Unnor	12
670	Number of times laboratory tests ordered	21.8	23.4	20.1	Ĩ limit	725
671	Number of times PCT test performed	5.0	2.5	7.5		_ 726
672				€	2-5,800 €-4,800 €-3,800 €-2,800 €-1,800	727
512					difference in costs with vs. without PCT	121

Figure 3. Tornado diagram showing the effect of varying input parameters on model outcome. The lower and upper limits used in the sensitivity analysis for
 each parameter are shown in the grey boxes. The parameters derived from the systematic review (duration of ICU stay, general ward stay, mechanical
 ventilation, and antibiotic therapy) are varied with one SD below and above the mean. For parameters that show an effect in the PCT strategy compared to
 current practice, the impact of changing this effect with 50% is shown (percentage of patients in whom a blood culture is performed). All input parameters for
 which no effect was found due to the PCT strategy, a variation of 25% was used as lower and upper limit.

680 compared to current practice. Actually, as the pooled esti-681 mate of the five RCTs reporting in-hospital mortality 682 shows a trend towards decreased in-hospital mortality in 683 the PCT group (odds ratio = 0.83), our model is conserva-684 tive for using an equal mortality rate. Although one of the 685 RCTs reported an absolute increase in 60-day mortality of 686 3.8% in the PCT group, which may potentially question 687 the safety of that PCT algorithm, the authors of this study 688 state that 'no patient in either group who died during days 689 29-60 had an infection relapse, and most deaths resulted 690 from complications directly related to the severity of 691 underlying disease'. Also, after controlling for potential 692 confounders, the odds ratio for death by day 60 was not 693 significantly different between the study groups³⁰

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694 Second, cost savings associated with a PCT algorithm 695 were estimated to be \in 3503 per patient (-9.2%), while 696 avoiding 1.7 antibiotic days (-14.8%), which indicates 697 that the PCT algorithm dominates current practice. 698 Those cost savings are achieved by a reduction in ICU 699 and general ward length of stay, a reduction in the 700 number of blood cultures performed, and, importantly, a 701 reduction in the duration of antibiotic treatment. 702 Although the results of the systematic review indicate a 703 small (and non-significant) increase in mechanical venti-704 lation days, this evidence is only based on two studies. 705 Because one of these studies is very small, this increase 706 cannot be considered conclusive. In addition, a retrospect-707 ive study by Hohn et al.³³ shows a decrease in the duration 708 of mechanical ventilation. This clearly indicates that 709 further research about the effect of a PCT algorithm on 710 the duration of mechanical ventilation is necessary. 711

A conservative re-analysis of the model, assuming that
the PCT strategy does not reduce ICU and general ward
length of stay, further confirms the conclusion that the
PCT algorithm to discontinue antibiotic treatment

735 is cost-saving. Compared to current practice, €289 736 per ICU patient with sepsis are saved (compared to the 737 €3503 in the base case scenario). These remaining cost 738 savings are mainly attributable to the reduced duration of 739 antibiotic treatment and the reduced number of blood cul-740 tures performed. As blood cultures are an important tool to 741 confirm sepsis and because the amount of blood cultures 742 required to do so is assumed to be affected by the PCT test, 743 costs for all blood cultures performed in relation to sepsis 744 were included to give the best estimate of the actual 745 impact of PCT. An ad hoc two-way sensitivity analysis 746 (data not shown) shows that, in fact, when the number 747 of ICU days is kept equal between the strategies, the 748 number of regular ward days may increase with 1 day in 749 the PCT strategy, before this strategy becomes more costly 750 than current practice. Clearly, when the cost-savings due 751 to the length of stay reduction (i.e., \in 3132) would not 752 accrue, the decision uncertainty surrounding the model 753 outcomes will increase. The probabilistic sensitivity ana-754 lysis performed under this conservative scenario shows a 755 53% probability that the PCT algorithm dominates cur-756 rent practice by saving €289 per patient (compared to 757 82% under the base case scenario), while there is a 46%758 probability that the PCT strategy is more expensive while 759 reducing the number of antibiotic days. 760

Third, the reduction in number of antibiotic days that 761 can be achieved by a PCT algorithm for antibiotic discon-762 tinuation is highly important, not only for its impact on 763 total direct healthcare costs, but notably so given the rise 764 in antibiotic resistance. Indeed, prolonged antibiotic dur-765 ation impacts the incidence of antibiotic resistance and 766 Clostridium difficile infections, which in this population 767 amounts to 4.7% and 4.6% per hospital episode, respect-768 $ivelv^{34-36}$. While not the focus of the current paper, one 769 could make a rough estimation of the additional indirect 770

cost savings of PCT testing by considering the excess 771 772 length of stay due to antibiotic resistance and C. difficile, reportedly circa 4.6 days and 0.9 days per patient, 773 respectively^{37–39}. As shorter duration of antibiotic therapy 774 is shown to decrease the incidence of antibiotic resistance 775 and C. difficile infections to 4.5% and 3.9%, respect-776 ively^{36,40,41}, this accrues additional cost savings, leading 777 to a new estimate of total costs per ICU patient with 778 sepsis of roughly €35 235 in the PCT strategy (compared 779 to €34 414 in the PCT base case analysis), i.e., additional 780 savings of €821 per patient. 781

Fourth, adherence to the PCT algorithm is shown to 782 affect its cost-effectiveness. For example, Harrison and 783 Collins¹⁴ showed that adherence of at least 42.3% was 784 needed to render their specific PCT testing strategy cost-785 effective. Although we did not perform a sensitivity ana-786 787 lysis on adherence rate, the data used in our model do 788 reflect sub-optimal adherence to some extent, as the studies of Bouadma et al.³⁰ and Annane et al.³¹ report that 789 adherence in their studies was low. Explicit consideration 790 of adherence is recommended for further work in this area. 791

The results of this analysis are in line with other reviews 792 such as Tang et al.¹², Agarwal et al.¹¹, and Heyland et al.⁴² 793 who conclude that PCT guided antibiotic therapy is asso-794 ciated with a reduction in antibiotic usage that may reduce 795 overall costs of care, under certain assumptions. The latter 796 is important for transferring results to a specific country, as 797 one has to consider to what extent the current model 798 assumptions and inputs are representative for that country. 799 Because relative treatment effects are typically more trans-800 ferable between developed Western countries than costs 801 are (due to large differences in resource use and unit costs). 802 803 ideally the model should be populated with country-specific data as much as possible to make valid per country 804 estimations. For example, the duration of hospital stay and 805 the duration of antibiotic treatment is relatively short in 806 the Netherlands compared to other European countries⁴³, 807 808 thus more benefit might be expected of the PCT assay in other European countries. Analyses for Germany and the 809 UK are currently ongoing, but preliminary results suggest 810 that the conclusions are robust across the different 811 812 countries.

Furthermore, the reduction in the duration of antibiotic 813 814 use as found in our review (1.7 days) can be considered as consistent yet conservative compared to other studies. 815 Heyland et al.⁴² reported a weighted average decrease in 816 antibiotic duration of 2.14 days, and a study by Wilke 817 et al.¹³ reports an average reduction of 4 days. 818 819 Interestingly, the expected cost savings as reported in our study are higher than those reported by Wilke et al., 820 which can, amongst others, be explained by the fact that 821 Wilke et al. considered the effect of PCT on ICU length of 822 stay and on the duration of antibiotic therapy, while our 823 824 study considered the effect of PCT on the entire hospitalization episode. Also, in the study by Wilke et al., the costs 825

were derived from the German DRG calculation and 826 applied to a real-life patient population, which might 827 explain the differences in reported cost savings. Despite 828 differences in the magnitude of cost savings, both studies 829 suggest that substantial cost savings can be achieved 830 following PCT implementation^{13,42}. 831

This study considered the use of PCT as a biomarker for 832 antibiotic discontinuation, not as a biomarker that guides 833 initiation of antibiotic therapy. Although other studies, 834 notably the one of Saeed et al.⁴⁴, report that PCT testing 835 can support the decision of whether or not to start using 836 antibiotics in situations where there is a clinical suspicion 837 of infection, we conservatively did not consider this option 838 in our model. Interviews with intensivists and clinical 839 chemists in the Netherlands revealed that PCT is unlikely 840 to be accepted as a marker to decide on starting antibiotics, 841 because of the rapid increase in mortality associated with 842 delayed antibiotic therapy in sepsis patients. However, 843 it seems reasonable to argue that PCT might support 844 the decision to withhold antibiotics if a sub-group of 845 patients can be identified that only have a minor suspicion 846 of sepsis. If possible, this may further improve the added 847 value of the PCT test as a means for fighting antibiotic 848 resistance. 849

An additional recommendation for further cost-850 effectiveness studies in this field is to consider the costs 851 of implementing a PCT algorithm. Although the test is 852 available for most routinely used laboratory analysers, 853 other additional resources may be needed to implement a 854 PCT algorithm (e.g., costs of educating laboratory staff 855 to perform the test), which will affect its incremental 856 cost-effectiveness, particularly in the early stages of 857 implementation.

Conclusions

Proven safe, we conclude that a PCT algorithm for antibiotic discontinuation is a cost-effective testing strategy in adult intensive care patients with sepsis compared to current practice. The PCT strategy as studied in this analysis 866 effectively reduces the duration of antibiotic therapy, 867 while the cost of testing is more than recouped by down-868 stream cost-savings that accrue from shorter hospital 869 length of stay, shorter duration of antibiotic therapy, and 870 reduced number of blood cultures. Further research is 871 needed to explore the potential impact of PCT algorithms 872 on reducing antibiotic resistance. 873

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10	PCT algorithm for	or discontinuation	of antibiotic	therapy Kip a	et al.
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Additional file 1: results of literature review

Title: A PCT algorithm for discontinuation of antibiotic therapy is a safe and cost-effective intervention to reduce antibiotic exposure in adult intensive care patients with sepsis. **Journal**: Journal of Medical Economics

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The following figures show the results of the literature review concerning the safety and effectiveness of a PCT algorithm for antibiotic discontinuation in adult ICU patients with sepsis. Pooled estimates for each parameter were obtained using Review Manager. A random effects model was applied. An overall estimate of the treatment effect is visualized in a forest plot for each parameter, including the 95% confidence interval.



Figure la – **in-hospital mortality.** This table shows the impact of a PCT algorithm for antibiotic discontinuation on in-hospital mortality.

	PCT Control						Mean Difference	Mean Difference			
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% Cl	IV, Rand	om, 95% Cl	
Annane 2013	32.3	26.8	30	55.5	79.5	28	3.6%	-23.20 [-54.17, 7.77]		+	
Bouadma 2010	26.1	19.3	307	26.4	18.3	314	63.7%	-0.30 [-3.26, 2.66]		•	
Deliberato 2013	11	136	14	11	56.5	13	0.6%	0.00 [-77.58, 77.58]			_
Nobre 2008	20.9	16.8	39	28.1	19.7	40	32.1%	-7.20 [-15.27, 0.87]	-	•†	
Total (95% CI)			390			395	100.0%	-3.34 [-9.38, 2.69]		•	
Heterogeneity: Tau ² =	-100 -50	0 50	100								
reactor overall effect.	2-1.00	,, -,							Eavours PC	T Favours	control

Figure Ib – **hospital length of stay**. Impact of a PCT algorithm for antibiotic discontinuation on hospital length of stay.

PCT Standard of care		care	Mean Difference			Mean Difference								
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% Cl		IV, Random, 95% Cl				
Annane 2013	29	25	30	35.6	34.2	28	2.3%	-6.60 [-22.11, 8.91]				-		
Bouadma 2010	15.9	16.1	307	14.4	14.1	314	28.5%	1.50 [-0.88, 3.88]			÷ .			
Deliberato 2013	3.5	14	42	3	6.75	39	15.8%	0.50 [-4.23, 5.23]			+			
Hochreiter 2009	15.5	12.5	57	17.7	10.1	53	18.0%	-2.20 [-6.43, 2.03]			-			
Nobre 2008	7.7	5.7	39	12.3	9.7	40	21.7%	-4.60 [-8.10, -1.10]			-			
Schroeder 2009	16.4	8.3	14	16.7	5.6	13	13.7%	-0.30 [-5.61, 5.01]			-			
Total (95% CI)			489			487	100.0%	-1.08 [-3.52, 1.36]			•			
Heterogeneity: Tau ² =	= 3.94; C	hi² = 9	.35, df :	= 5 (P = I	0.10); l ^a	²= 47%			100	50	<u> </u>		+	100
Test for overall effect	: Z = 0.87	' (P = 0	0.38)						-100	-50	U		50	100
										Favours	s PCT	Favour	s cont	trol

Figure Ic – ICU length of stay. Impact of a PCT algorithm for antibiotic discontinuation on ICU length of stay.

		РСТ	Control					Mean Difference	Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% Cl	IV, Random, 95% Cl
Annane 2013	4.3	1.4	30	4.5	1.2	28	24.5%	-0.20 [-0.87, 0.47]	+
Bouadma 2010	10.3	7.7	307	13.3	7.6	314	19.4%	-3.00 [-4.20, -1.80]	
Deliberato 2013	10	9	42	11	10.75	39	4.2%	-1.00 [-5.33, 3.33]	
Hochreiter 2009	5.9	1.7	57	7.9	0.5	52	26.1%	-2.00 [-2.46, -1.54]	•
Nobre 2008	20.9	16.8	39	28.1	19.7	40	1.4%	-7.20 [-15.27, 0.87]	
Schroeder 2009	6.6	1.1	14	8.3	0.7	13	24.3%	-1.70 [-2.39, -1.01]	•
Total (95% Cl) 489 486 100.0% -1.71 [-2.67, -0.74]					-1.71 [-2.67, -0.74]	•			
Heterogeneity: Tau ² =	: 0.87; Cl	hi = 2	6.87, di	f = 5 (P ·	< 0.000°	1); I ^z = 8	B1%	-	
Test for overall effect:	Z = 3.47	' (P = 0).0005)						-10 -3 0 3 10
									Favours PCT Favours control

Figure Id – antibiotic use. Impact of a PCT algorithm for antibiotic discontinuation on duration of antibiotic treatment.

		РСТ		C	ontrol			Mean Difference		Mea	erence			
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% Cl		IV, Random, 95% Cl				
Annane 2013	21.5	25.1	30	20.3	18.6	28	2.3%	1.20 [-10.12, 12.52]			\pm	_		
Bouadma 2010	11.8	11.1	307	11.1	10.9	314	97.7%	0.70 [-1.03, 2.43]						
Total (95% CI)			337			342	100.0%	0.71 [-1.00, 2.42]			•			
Heterogeneity: Tau ² = 0.00; Chi ² = 0.01, df = 1 (P = 0.93); i ² = 0% Test for overall effect: 7 = 0.82 (P = 0.42)									-100	-50	Ó	50)	100
. correct of order of order.	2 0.01	• • • • •								Favours P	СТ	Favours	contr	ol

Figure le – mechanical ventilation. Impact of a PCT algorithm for antibiotic discontinuation on duration of mechanical ventilation.

Additional file 2: results of sensitivity analysis

Title: A PCT algorithm for discontinuation of antibiotic therapy is a safe and cost-effective intervention to reduce antibiotic exposure in adult intensive care patients with sepsis. **Journal**: Journal of Medical Economics

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Table I – one-way sensitivity analysis results. This table shows the result of the one-way sensitivity analysis. Both the base case input parameter as well as the lower and upper limit, and the effect on direct hospital costs are shown for each parameter.

Parameter	Current	Lower and	Effect on
	with PCT	upper limit	COSIS
Base case		-	€ - 3,462
Effect on regular ward days	9.0	6.0	€ - 4,975
		12.0	€ - 1,951
Effect on ICU days	12.7	11.5	€ - 5,672
		13.9	€ - 1,251
Percentage receiving antibiotics	100.0%	75.0%	€ - 3,774
Effect on antibiotic days	9.9	9.4	€ - 3,523
		10.3	€ - 3,400
Effect on percentage mechanical	77.0%	57.8%	€ - 4,249
ventilation		96.3%	€ - 2,674
Effect on days mechanical	10.6	9.9	€ - 3,681
ventilation		11.6	€ - 3,172
Percentage of patients on dialysis	16.0%	12.0%	€ - 3,520
therapy		20.0%	€ - 3,404
Number of days on dialysis therapy	5.00	3.75	€ - 3,520
		6.25	€ - 3,404
Effect on percentage of patients in	61.4%	79.5%	€ - 3,207
whom a blood culture is performed		43.4%	€ - 3,717
Sets of blood cultures performed per	2.0	1.5	€ - 3,679
patient		2.5	€ - 3,244
Blood cultures performed in patients	8.2%	6.1%	€ - 3,111
diagnosed as having sepsis		10.2%	€ - 3,672
Number of times laboratory tests	21.8	23.4	€ - 3,421
ordered		20.1	€ - 3,502
Number of times PCT test	5.0	2.5	€ - 3,499
performed		7.5	€ - 3,424