We investigate the influence of buoyancy on electroconvection at an ion-exchange membrane in an aqueous electrolyte solution. Electrokinetic instabilities (EKIs) and Rayleigh-Bénard (RB) convection are both known to mix the appearing concentration gradient layer and overcome the limiting current arising from diffusional limitations. The different physics, as well as the interplay between them, are investigated by electrical, flow, and concentration characterization. In the buoyancy stable orientation, an EKI mixing layer, having a low concentration, grows till saturated size. In the buoyancy unstable orientation, RB occurs and dominates the advective transport due to the large system size. When current density \( i < 5i_{\text{lim}} \), RB mixes the system and EKI does not arise. If \( i > 5i_{\text{lim}} \) EKI starts before RB and hastens the onset of RB. Upon onset of RB, EKI is suppressed while the overall resistance is still decreased. The onset times of EKI and RB could be predicted using a simple diffusion-migration model based on Fick’s second law.
FIG. 1. Experimental setup in co-orientation, the buoyancy unstable situation, where the electric and gravitational field point downwards, noted as $g \downarrow E \downarrow$. The voltage drop, $\Delta V$, under a constant applied current, $I$, is measured simultaneously with the local hydrodynamics of the ionic solution inside the anode compartment.

to study the coupling of RB and EKI near a membrane have been performed [28]. The estimated currents were greatly influenced by the appearance of RB convection. No universal relation could be obtained for the current, as the RB and EKI were predicted to be coupled in highly nonlinear fashion.

In this paper, we report our experimental investigations on the influence of Rayleigh-Bénard convection, the electrokinetic instability, and coupling between these phenomena in a cation-exchange membrane system. We experimentally quantified the changing concentration profile, flow, and electrical response of the setup for different orientations of electric field with respect to the gravitational field. This is accomplished using fluorescence lifetime imaging microscopy (FLIM), particle image velocimetry (PIV), and potentiostatic measurements. From these dynamic measurements, we also compare convection onset times to a simple transient model based on Fick’s law of diffusion.

II. MATERIAL AND METHODS

A. Electrochemical measurements (chronopotentiometry)

Figure 1 shows the experimental setup, composed of a cation-exchange membrane, (Neosepta CMX), with surface area $A_{\text{mem}} = 3 \times 4.5 \text{ mm}^2$, and thickness of 170 $\mu$m, placed between two reservoirs filled with a 10 mM CuSO$_4$ electrolyte solution, both closed with copper electrodes. The distance between membrane and anode is 2 mm and between membrane and cathode 20 mm. Chronopotentiometric measurements are performed by forcing a constant dc electric current, $I$, through the setup (Autolab PGSTAT 30). The anode, where copper oxidizes, serves as a Cu$^{2+}$ cation source, and the cathode, where copper reduces, acts as a Cu$^{2+}$ cation sink. The time-dependent voltage difference, $\Delta V(t)$, was measured between the anode and a copper wire placed on the other side of the membrane (7.3 mm from membrane). The current density was set between $i = 4.4 - 44.4 \text{ A/m}^2$.

The ion-transport process is investigated for two different orientations. (1) The membrane is placed horizontally with the anode compartment above it (Fig. 1). This is called co-orientation, since the electric field direction (anode to cathode) is aligned with the gravitational field (buoyancy unstable). (2) The setup is rotated 180°, which we call counterorientation (buoyancy stable).
Flow dynamics in the anode compartment are measured by seeding the solution with 0.1 wt% 2-μm red polystyrene tracer particles (Microparticles GmbH, with a density of 1.05 g/cm³). The particles are illuminated with a thin laser sheet (Firefly, Oxford lasers) (see Fig. 1), and the reflected light is captured through a long-distance magnifying lens (Navitar) on a camera at 10 or 32 frames per second. From the recorded particle displacement, we determine the vector field using particle image velocimetry (PIV) analysis (DaVis) [Figs. 3(b) and 3(e)].

Image recording. Depending on the particle velocity and magnification, an appropriate recording frequency is chosen. The movie and PIV analysis in of the mixing layer in the counterorientation at 29.6 A/m² was done using Δt = 0.03 s. All other movies and PIV analysis are done using Δt = 0.1 s. The typical magnification of the magnifying lenses attached to the camera is M = 2.5 (with the resolution of 1 pixel = 2 μm). The entire compartment, 2 mm in height and 3 mm in width, is in view.

Multigrid vector calculation. To calculate the flow field, a multigrid cross-correlation method with decreasing window size is used. First, a 98 × 98-pixel interrogation window is used to determine a reference vector field. This field is then used to calculate a window shift of the next correlation. This shift ensures the same particles are correlated with each other. To obtain a higher resolution, the second calculation is done with windows of 48 × 48 pixel. A geometrical mask covers the membrane, anode, and side wall so no vector field is calculated in that region.

Vector postprocessing. To eliminate erroneous vector, a vector postprocessing algorithm is used. Outlier detection is employed based on the median value of the nearest neighbors. This value is less sensitive to neighboring outliers with large values compared to a normal average. If appropriate, a new vector is calculated and groups of erroneous vectors can also be excluded. This scheme consists of the four following steps. First, vectors outside the allowed deviation from the neighbors are eliminated using the criteria

\[ U_{\text{median}} - aU_{\text{rms}} \leq U \leq U_{\text{median}} + aU_{\text{rms}}, \]  

\[ U_{\text{rms}} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (U_i - U_{\text{median}})^2}, \]

where \( a = 2 \). This criterion is used for both \( x \) and \( y \) components of a planar velocity vector \((u, v)\) to check the validity of the velocity vector. In the second step, all vectors with less than three neighbor vectors are also removed. In the third step, new vectors for the empty vector positions are found using an iterative process. For vectors with three or more neighbors, the median criterion is checked with \( a = 3 \). If the vector is identified as false, a lower correlation peak is checked for fitting up to the fourth-lowest peak. Once no more vectors can be added, the final step effectively detects and subsequently removes small groups of spurious vectors (with less than four vectors) which have not been detected in the steps 1 and 2. If no vectors meet these criteria in the integration windows, the vectors in the empty spaces are interpolated or extrapolated. The average values of \( u \) and \( v \) of the nonzero nearest neighbors are determined and subsequently used. This procedure is done iteratively to fill up the whole grid. The dynamics are relatively slow, so for five consecutive vector fields the orthogonal components are averaged separately (sliding average) to reduce the influence of erroneous vectors further. The final vector fields represent the average motion during \( \Delta t = 0.5 \) s, or \( \Delta t = 0.16 \) s in case of 29.6 A/m² in the counterorientation.

Determining vortex size and speed. In co-orientation, motion occurs in the full cell and the root mean square of all vectors of the final vector field is taken as the mean velocity of the mixing layer. In counterorientation, first the mixing layer thickness \((L_{\text{mix}}\) or vortex size) needs to be determined from the final vector field before the vortex speed (root mean square velocity in the vortex area) can be calculated. The vortex boundary is characterized by the sharp decay of the vertical velocity.
component, $v$, away from the membrane. From a horizontal row (40 vectors) the average value is taken. These average values are interpolated with a cubic spline to get a smooth function representing the change in vertical velocity. The vortex region is quantified by the distance between the membrane position (determined with IMAGEJ) and the position where the vertical velocity drops below a fixed threshold value. The threshold value is taken as 10% of the maximum value inside the vortex region. The absolute value of the vectors in this vortex region is averaged to obtain the vortex speed. A similar technique was used in our previous paper [3].

C. Concentration measurements (FLIM)

The concentration profile in the system is determined using FLIM (LaFLIM, Lambert Instruments, Groningen, The Netherlands). The fluorescence lifetime of Alexa Fluor 488 dye depends on the CuSO$_4$ concentration in the range between 1 and 100 mM, as seen in the calibration curve and error estimation (see Fig. 2). A modulated blue light-emitting diode light is sent through a 5× magnifying objective (Zeiss) and the fluorescence is captured on a 696×520-pixel CCD camera. Each pixel represents an area of 4.17×4.17 $\mu$m$^2$. To have sufficient light intensity, each image was made with a shutter time of $\Delta t = 300$ ms. From 12 phase images the shift of fluorescence lifetime of each pixel is determined compared to a reference images. The reference is demineralized water (Milli-Q) with 2.5 $\mu$M Alexa Fluor 488 dye. The lifetime of that sample is set to 4.1 ns, according to literature [29,30] and confirmed by a fluorescein reference lifetime standard.

The fluorescence decay of Alexa Fluor 488 dye is concentration dependent in the range between 1 and 100 mM [see Fig. 2(a)]. A modified Stern-Volmer equation was used to fit the fluorescence lifetime $\tau$ to the CuSO$_4$ concentration $c$ [31]:

$$\tau = A \left( 1 - \frac{1}{fKc} + \frac{1}{f} \right).$$

The least-squares parameter estimates of this function were $A = 4.0761 \pm 0.0263$ ns, $K = 0.0598 \pm 0.0058$ mM$^{-1}$, and $f = 0.6243 \pm 0.0250$. $A$ corresponds (within error) to the expected lifetime of Alexa dye in water ($\sim$4.1 ns) [30]. From the confidence intervals the prediction bound of 95% is determined. Once the lifetime is measured, the error bar in concentration is derived via this prediction bound [see Fig. 2(b)]. Normality of residuals was assessed using visual inspection of a normal probability plot.
D. Numerical modeling of concentration profile

The degree of concentration polarization in the anode compartment is predicted using a transient 1D formulation of Fick’s second law. This follows from combining the Nernst-Planck equation with the continuity relation and electroneutrality assumption Ref. [32]. The membrane and anode are assumed to be ideally cation selective. The initial condition is a homogeneous concentration and the boundary conditions are set by the applied constant current. At the anode there is a constant inflow of cations and at the membrane a constant outflow. The development of the concentration gradients are solved via a partial differential equation (PDE) solver (MATLAB); an analytical solution can also be found in [32]. This allows for prediction of concentration gradient and onset times of EKI and RB in the cell. The equations read

\[ \frac{\partial c(x,t)}{\partial t} = D \frac{\partial^2 c(x,t)}{\partial x^2}, \]  

with the initial and boundary conditions

\[ c(x,0) = c_0, \]  

\[ \frac{\partial c(0,t)}{\partial x} = \frac{i}{zFD} (t_+ - t_+), \]  

\[ \frac{\partial c(L,t)}{\partial x} = \frac{i}{zFD} (\hat{t}_+ - t_+), \]

where \( c(x,t) \) is the concentration, \( z \) is the valency of the (symmetric) electrolyte (\( z = 2 \)), \( F \) is Faraday constant (\( F = 9.65 \times 10^4 \text{ C/mol} \)), and \( D \) is the diffusion coefficient (\( D_{\text{CuSO}_4} = 0.855 \times 10^9 \text{ m}^2/\text{s} \) [33]). We assume an ideal membrane and electrode that only allow cations to move through with transport number, \( t_+ = \hat{t}_+ = 1 \). The bulk transport number reflects the mobility of \( \text{Cu}^{2+} \) compared to \( \text{SO}_4^{-2} \), \( t_+ = D_+/(D_+ + D_-) = 0.4 \) [34]. This is the part of the current that is carried by cations in the bulk. Due to the slab symmetry the concentration only changes perpendicular to the membrane, where \( x = 0 \) at the membrane and \( x = L \) at the anode.

The steady-state condition of the model is a constant gradient throughout the whole cell. The gradient is linear proportional to the applied current. The limiting current arises if \( c_\text{mem} = 0 \). The value of the limiting current density can also be predicted with Peers’ equation [34],

\[ i_\text{lim} = \frac{zFD}{(t_+ - t_+)} \frac{c_\delta}{\delta}, \]

where \( \delta \) represents the thickness of the stagnant diffusion layer and \( c_\delta \) the concentration at the end of this layer. For \( \delta = 2 \text{ mm} \) and \( c_\delta = 2c_0 = 20 \text{ mM} \) and ideal boundaries a limiting current density of \( 2.7 \text{ A/m}^2 \) is obtained.

III. RESULTS AND DISCUSSION

The results and discussion of this work are separated into three parts. First, the differences in steady-state dynamics are discussed. Only in the co-orientation RB occurs. It reduces the ion concentration polarization (ICP) and avoids or diminishes electroconvection that occurs via the electrokinetic instability (EKI), thereby decreasing the electrolyte resistance compared to that seen with co-orientation. Second, the transition time of both types of convection is determined and shows the agreement between experiment (electrical and flow) and the theory that predicts when EKI can occur. Third, concentration measurements verify the theory further and a numerical 1D framework is constructed that predicts the onset of RB. Different current regimes are identified in which the interplay between the two types of convection is fundamentally different.
In counterorientation, currents above $i_{\text{lim}}$ result in EKI and no RB convection was observed, as expected, as the ion-depleted fluid lies above the ion-enriched fluid [19,35]. In Fig. 3(a) we show the typical flow field next to the membrane after applying $29.6 \text{ A/m}^2 \approx 11 i_{\text{lim}}$ when the mixing layer is fully developed ($t = 300 \text{ s}$). The top half of the cell shows electroconvective mixing, while the bottom half is relatively stagnant, although it clearly shows secondary vortex motion [36]. The boundary of the mixing layer is taken as the distance from the membranes where the mean r.m.s. velocity is 10% of the maximum [see Fig. 3(c)]. In Fig. 4 the mean r.m.s. velocity within the mixing layer and the applied voltage difference are shown with respect to time, which reflect the strong chaotic fluctuations in the velocity and applied voltage. The fluctuations are not a result of measurement error, since the temporal resolution of both electrical and flow measurements was sufficient. Careful analysis did not reveal a correlation between the signals. It has to be noted that the voltage is applied over the full volume, while the velocity field is extracted from a cross section. Combining the average additional voltage, $\Delta V_{\text{olc}} = 3.4 \text{ V}$, with the average mixing size, $L_{\text{mix}} \approx 1 \text{ mm}$, estimates the conductivity of the mixing layer, $\sigma = L_{\text{mix}} i / \Delta V_{\text{olc}} \approx 9 \times 10^1 \mu \text{S/cm}$. The conductivity of the mixing layer has a value similar to that found for smaller, lower intensity vortices observed in our previous work [3].

When the system is rotated 180° (to co-orientation, i.e., buoyancy unstable) substantially different behavior is observed. Flow occurs in the whole cell [see Fig. 3(c)]. Fluid motion mixes the high concentration at the anode with the low concentration at the membrane. ICP diminishes and the resistance decreases towards the initial ohmic resistance, as shown in Fig. 4(a). Gravitational or Rayleigh-Bénard convection occurs if the destabilizing buoyancy forces overcome stabilizing viscous forces [21,37,38]. This ratio is expressed by the nondimensional Rayleigh number,

$$\text{Ra} = \frac{M \Delta c g L^3}{\mu D}, \quad (9)$$

A. Steady state
FIG. 4. The voltage drop, $\Delta V$, (a) and mean r.m.s. velocity of the PIV vectors in the full cell (b) versus time for 29.6 A/m² in 10 mM CuSO₄ in co- and counterorientation. The inset in (a) shows the electrical transition times in the co-orientation.

where for our system, $M = 0.1567$ kg/mol is the molar mass of the CuSO₄, $g = 9.81$ m/s² is the gravitational constant, $\mu = 1.002 \times 10^{-9}$ kg/m/s is the dynamic viscosity of water, and $D = D_{\text{CuSO}_4} = 8.55 \times 10^{-10}$ m²/s is the diffusion coefficient of copper sulfate.

The RB instability is found to occur if $Ra > Ra_c$. The critical Rayleigh number depends on the system parameters but is on the order of $Ra_c = 1000$, as in Ref. [19], which concerned a similar electrolyte system with flat sheet copper electrodes in CuSO₄ solution. This value is also in agreement to other numerical work on the effect of nonlinear gradients between two rigid boundaries (no slip) with constant flux and constant concentration boundary values [39]. For the limiting current condition ($L = 2$ mm, $\Delta c = 20$ mM) the Rayleigh number is $Ra \approx 2.9 \times 10^5$. The experimental observation of RB convection is therefore expected above the limiting current and agrees with other work [26,38]. Rayleigh-Bénard convection is also observed at underlimiting currents. RB flow brings denser (ion-rich) fluid down to the membrane, while less dense (ion depleted) fluid moves away. This balances the anion migration away from the membrane and diminishes the degree of ion depletion.

At $i = 8i_{\text{lim}}$ and higher current densities, a small layer with EKI is present at the membrane while RB convection dominates the rest of the cell. The EKI layer size $O(100 \mu$m) is larger at higher currents. The enhanced-ion transport caused by RB is not sufficient to sustain the high current demand and avoid depletion of the interface. Movies showing the development of vortices in the system, along with the electrical response, for different configurations as well as of concentration profile
(fluorescence lifetime) vs time are provided in the Supplemental Material [40]. These observations confirm the numerical predictions of Karatay et al. [28]. It could not be determined in our case if the EKI vortices stayed counterrotating [9] or the RB flow caused corotating [41] or even helical [15] vortices.

**B. Transition times**

The onset of the instabilities provides an understanding in the coupling of both types of convection. RB mixing starts when the concentration gradient becomes buoyancy unstable and mixes the whole volume [20,21]. EKI mixing starts at the membrane and occurs after the membrane surface becomes depleted and an overvoltage is applied [6,7,11]. The time for $c_{\text{mem}} = 0$ can be determined from the diffusion-migration model. For an infinitely large system, $L \to \infty$, an analytical solution can be derived known as Sand’s transition time [42]:

$$\tau_s = \frac{\pi D}{4} \left( \frac{c_0 z F}{i_{+} - i_{-}} \right)^2 \frac{1}{i^2}. \quad (10)$$

For $c(L = 1 \text{ mm}) = c_0$ this agrees with Sand’s equation when the diffusion layer is smaller than $L$. It was verified that at the lowest current ($4.4 \text{ A/m}^2 = 1.6i_{\text{lim}}$) the numerical depletion time is similar to $\tau_s$. An analytical function for the transition time that is also valid at even lower currents can be found in Ref. [43].

From the chronopotentiometric measurements response, the time for ion depletion at the membrane interface is extracted, since it causes a jump in resistance [3,44]. The inset in Fig. 4(a) shows the electrical signature of the transitions. The depletion time is usually taken as the base of voltage jump [44], or the point with maximal gradient [45]. To extract the value of the voltage jump in a consistent way, the depletion time, $\tau_s$, is taken as the first point where the rate of change of the gradient is maximum $[\partial^2 V(t) = 0]$. The end of the voltage jump and start of EKI, $\tau_{\text{EKI}}$, is taken as the second point where $\partial^3 V(t) = 0$. The electrical RB transition time, $\tau_{\text{RB}}$, is taken as the moment the RB mixing decreases ICP and overall resistance $[\partial V(t) = 0]$.

From the simultaneous flow measurements, the start of EKI $\tau_{\text{EKI}}$ is taken when the first particle movement next to the membrane appears. The flow signature of RB convection, $\tau_{\text{RB}}$, is taken as the instant the full cell velocity suddenly jumps up. Figure 5(a) shows the root mean square (r.m.s.) velocity of the vector field of the whole membrane compartment as measured with PIV. After the concentration gradient becomes unstable RB convection starts and the r.m.s. velocity increases...
FIG. 6. Images of the FLIM lifetime of each pixel color coded from blue 2.5 ns to yellow 4.1 ns. The cation-exchange membrane is at the top and $i = 3.3i_{\text{lim}} = 8.9$ A/m². (a) $t = 45–60$ s; (b) $t = 825–840$ s.

steeply. The base of the velocity peak is taken as the moment the increase in speed is highest \[ \partial^2 v_{\text{rms}}(t) = 0 \]. This is the inflection point of the first derivative and local maximum of the second derivative.

The velocity fluctuation at the beginning at higher currents, $i > 6.4i_{\text{lim}} = 18$ A/m², is caused by mixing via EKI at the membrane. Although the velocity within the EKI mixing layer is high, the full cell r.m.s. velocity is low, due to the fact the layer is initially small. A higher current shows a higher peak velocity and an earlier onset time. Gradients build up faster, and the concentration difference between the membrane and the anode becomes higher. After mixing starts, gradients are reduced and the velocity drops. Even after 500 s [Fig. 5(b)], the velocity still decreases.

C. Concentration profile development

In counterorientation, the concentration profile development was determined using FLIM. In Fig. 6 two images of the concentration distribution in the anode compartment, as determined from FLIM measurements, are displayed. They show the degree of concentration polarization at $t = 45–60$ s and $t = 825–840$ s for applying $i = 3.3i_{\text{lim}} = 8.9$ A/m² inside a 10 mM CuSO₄ solution with 2.5 μM Alexa dye. From these images, a representative 1D concentration profile is calculated, as shown in Fig. 7(a). Each data point is obtained from averaging the lifetime over 100 pixels (along membrane in the center) by 20 pixels (normal to membrane).

Using the numerical model previously described, the concentration profile between the membrane and the anode is predicted, as shown in Fig. 7(a). The experimental system displays a diffusion layer and a mixing layer with a concentration, \( c_{\text{mix}} = 0.1c_0 = 1 \pm 0.2 \) mM. This is in line with the previous postulate that the overlimiting resistance, \( R_{\text{olc}} = \Delta V_{\text{olc}}/(iA_{\text{mem}}) \), is primarily characterized by a low-concentration (high-resistance) layer [3].

In co-orientation, the model can be used to predict when the boundary layer becomes unstable. The flow observations show the start of half-cell RB vortices, most pronounced at the anode, with much faster full cell motion starting quickly after. To estimate when the half cell becomes unstable, \( Ra > Ra_c \), a characteristic length is chosen from the nonlinear concentration gradient. For this, we take the distance between the membrane or anode and the point where the concentration gradient is 10% of the maximum value. In Fig. 7(b) this characteristic length, \( L \), together with the concentration difference, \( \Delta c \), is shown. With these values the Rayleigh number of the boundary layer is calculated, which is plotted against time in Fig. 7(c). From this line the time when \( Ra > 1000 \) is determined, which is taken as the numerical RB transition time, \( \tau_{RB} \).

An overview of all co-orientation transition times is shown in Fig. 7(d). The electrical and flow EKI transition times, \( \tau_{\text{EKI}} \), are similar and match well with the theoretical depletion time. The EKI onset thus follows a \(-2\) power law with the current as seen in the Sand’s equation and found in many other experiments [3,44]. The transition time for the RB convection decays slower with increased
current. This leads to two regimes, one where RB convection occurs before EKI and one where EKI starts first. The presented numerical model predicts a decay of power $\sim -0.5$, which comes close to the actual data. If EKI starts, this hastens the onset of RB convection and the data deviate from this $-0.5$ power prediction.

IV. CONCLUSION

In summary, we have experimentally characterized the influence and coupling of buoyancy effects (RB, Rayleigh-Bénard) and the EKI in overlimiting current conditions. In counterorientation, which is buoyancy stable, we identify an EKI mixing layer and an almost stagnant diffusion layer. Our concentration and velocity measurements show the high OLC resistance is a result of the low concentration in the mixing layer. In co-orientation, which is buoyancy unstable, RB convection eventually dominates over EKI mixing. Our model and experimental data on the onset of both types
of convection are in agreement. For \( i < 5i_{\text{lim}} \) the RB onset time is shorter compared to the Sand transition time, and RB convection prevents ion depletion at the membrane. We find that EKI starts before RB if \( i > 5i_{\text{lim}} \) and that this accelerates the onset of RB due to the disturbance of the depletion layer by EKI. At currents above \( i > 7i_{\text{lim}} \) EKI stays present at the membrane interface, indicating that the RB convection cannot avoid depletion. The mixing of the full cell decreases the resistance to near initial values. This mixing causes higher ion transport than diffusion allows for at limiting current conditions, which demonstrates the potentially large impact RB instabilities could have on the performance of electrodialysis systems.

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