Biocueing and ambulatory biofeedback to enhance emotion regulation: A review of studies investigating non-psychiatric and psychiatric populations

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ABSTRACT

Over the last years, biofeedback applications are increasingly used to enhance interoceptive awareness and self-regulation, in psychiatry and beyond. These applications are used to strengthen emotion regulation skills by home training (ambulatory biofeedback) and real-time support in everyday life stressful situations (biocueing). Unfortunately, knowledge about the feasibility and effectivity of these applications is still scarce. Therefore, a systematic literature search was performed. In total, 30 studies (4 biocueing, 26 ambulatory biofeedback) were reviewed; 21 of these studies were conducted in non-psychiatric samples and 9 studies in psychiatric samples. Study characteristics, biofeedback characteristics, effectivity and feasibility outcomes were extracted. Despite the rapid advances in wearable technology, only a few biocueing studies were found. In the majority of the studies significant positive effects were found on self-reported (stress-related) psychological measures. Significant improvements on physiological measures were also reported, though these measures were used less frequently. Feasibility of the applications was often reported as sufficient, though not adequately assessed in most studies. Taken into account the small sample sizes and the limited quality of the majority of the studies in this recently emerging field, biocueing and ambulatory biofeedback interventions showed promising results. Future research is expected to be focusing on biocueing as a just-in-time adaptive intervention. To establish this research field, closer cooperation between research groups, use of more rigorous as well as individually tailored research designs and more valid feasibility and effectivity assessment are recommended.

1. Introduction

1.1. Emotion regulation and psychopathology

The human ability to regulate emotions, by monitoring, evaluating and modulating emotional responses, is essential in mental health (Gross and Jazaieri, 2014). Emotion regulation or self-regulation consists of the integrative functioning of psychological and physiological processes to adequately identify and respond to real and perceived threats (Taylor, 2006). Over the last years, the multifaceted process of emotion regulation gained attention as one of the core transdiagnostic concepts in treatment of psychiatric disorders (Hulsbergen et al., 2014). The large variety of psychopathology and maladaptive behaviors associated with emotion regulation difficulties, ranging from anxiety and mood disorders to substance abuse and aggressive behavior, stresses the clinical relevance of this concept (Gratz and Tull, 2010; Sheppes et al., 2015). Adequate assessment and treatment of problematic patterns of emotion regulation, is important in ameliorating psychiatric symptoms as well as general functioning (Gross and Jazaieri, 2014). Therefore, the development of technological applications aimed to enhance insight and to support self-regulation by real-time monitoring of physiological processes and delivering just-in-time-adaptive interventions (Riley et al., 2015) are of high relevance for therapy.

Emotional awareness is considered essential for adequate emotion...
report passive attention to threat or dangerous activities to induce system (ANS), which regulates respiration, heartbeat and sweat secretion. The ANS is divided into two branches: the sympathetic nervous system (SNS) and the parasympathetic nervous system (PNS). Typically, in case of emotional stress, SNS and PNS cooperate reciprocally: when the SNS is activated, creating a fight- or flight-response, the PNS is deactivated (Levenson, 2014). In case of coactivation of SNS and PNS, research results indicate that coping resources are overwhelmed by exaggerated arousal, leading to internal distress and anxious responses (Hastings et al., 2009) as well as reactive aggression (El-Sheikh et al., 2009; Raine, 2008). In case of coinhibition of both systems, studies report passive attention to threat or dangerous activities to induce arousal, contributing to covert forms of externalizing behavior, such as proactive aggression (Boyce et al., 2001; El-Sheikh et al., 2009). Altogether, dysregulated responses of the ANS have been shown to hinder homeostatic and adaptive functioning, to enhance stress vulnerability and to give rise to both physical and psychological dysfunctions (Mandel, 2003). Therefore, training methods aimed at enhancing emotional awareness, such as mindfulness based interventions for patients with internalizing problems (Kranzler et al., 2016) and treatment interventions focusing on recognition of arousal for patients with externalizing, aggressive behavior (Novaco, 2007) are often mentioned as potentially beneficial additions to current treatment protocols. Nevertheless, it should be noted that no consistent or specific patterns of ANS changes were found in association with specific emotion categories (Siegel et al., 2016). In summary, ANS information may support emotional awareness (particularly the facet of attention to emotion) and may aid the detection of emotional intensity based on the arousal dimension, though is not expected to enhance emotional granularity by strengthening the determination of valence.

1.2. Biofeedback to enhance emotion regulation

The addition of real-time biofeedback in- and outside the treatment setting, using new technological applications, is an innovative attempt to enhance emotion regulation skills. In the process of biofeedback, instruments monitor physiological parameters (e.g. heart rate, skin conductance, respiration), transform these measurements into auditory and/or visual signals and present these signals directly to the user (McKee, 2008). Providing psychiatric patients with this physiological information is considered to be a helpful means to signal arousal changes in response to emotional events, to recognize emotions adequately and to influence emotional processes in a health-improving way (Lisetti and Nasoz, 2004; Yucha and Montgomery, 2008; Cornet et al., 2017).

In the past decades, several biofeedback approaches have been developed. During a standard biofeedback paradigm consisting of multiple sessions, patients are trained to influence a physiological parameter, for example Heart Rate Variability (HRV) (Lehrer, 2013) or a derivative thereof called coherence (McCraty and McCraty and Zayas, 2014), by consciously alternating their (breathing) responses to the feedback provided. The ability to regulate their physiological reactions in response to emotional stimuli is trained during the sessions and is supposed to be transferred to emotionally challenging situations outside the sessions, when biofeedback is not available (Peira et al., 2014). To differentiate this biofeedback training paradigm from more modern approaches, this type is called traditional biofeedback. To support patients to train at home, new devices were developed and studied (Whited et al., 2014). These portable devices can be used at home, in combination with a personal computer, when the users wants, preferably several times a day. In this study, we will refer to this biofeedback type as ambulatory biofeedback. Over the last years, rapid developments in the field of non-invasive devices (such as breast bands, wrist sensors, smart fibers and interactive textiles) opened up opportunities for real-time measurement in everyday life. These developments have enabled a new type of personalized biofeedback, delivered in everyday life, which will be referred to as bio cueing in this review. Bio cueing can be defined as cueing users when physiological values are in a specific ‘at risk’-range, and allowing just-in-time behavioral support (Paradiso et al., 2011; Riley et al., 2015). As such, whereas ambulatory biofeedback can mainly be seen as a method to encourage at home training, bio cueing has an additional function to signal deviating arousal levels, real-time, in everyday life.

Based on multiple studies, mainly performed among patients with internalizing psychological problems, traditional biofeedback is considered efficacious in treatment of anxiety and chronic pain, and possibly efficacious in treatment of depression (Yucha and Montgomery, 2008). Considering cardiovascular feedback, the main focus of our study, two recent meta-analyses revealed significant reductions in (self)-reported stress and anxiety after HRV-biofeedback training (Goessl et al., 2017) and an overall small to medium effect size for HRV-biofeedback, with largest effect sizes for anxiety, depression, anger and performance measures (Lehrer et al., 2020). It is hypothesized that HRV-biofeedback stimulates activity in the prefrontal regions that are sensitive to interoceptive information, thereby strengthening the neuronal pathways underlying emotion regulation (Mather and Thayer, 2018). In ambulatory biofeedback studies, this potential to enhance self-regulation skills is also found, both among psychiatric and non-psychiatric populations with emotional problems (Eddie et al., 2015; Tolin et al., 2017; Yu et al., 2018). Bio cueing is relatively new, but may be particularly useful for patients who lack insight in the physiological signals that precede dysregulated behavior in everyday life such as binge eating episodes (Godfrey, 2018), self-injurious behavior (Koenig et al., 2017) and psychotic experiences (Schlier et al., 2019). Focusing on aggression, several studies showed that physiological information can be used as a predictor of aggressive behavior, for example among youth with autism spectrum disorder (Cumpassouli et al., 2018; Ferguson et al., 2019; Niuke et al., 2019), patients with intellectual disabilities (Palix et al., 2017), patients with schizophrenia (Wang et al., 2019) and forensic patients (Kuijpers et al., 2012; De Looff et al., 2019). Considering the emotion of anger – in forensic populations often manifested as externalizing, aggressive behavior – bio cueing could strengthen awareness of high risk situations and support patients to use learned behavioral skills to prevent aggressive incidents (Cornet et al., 2016).
1.3. Study objective

Given the potential of biocueing and ambulatory biofeedback, the main aim of this systematic review is to provide an overview of the current state of research concerning these new applications in treatment of emotion regulation difficulties, in psychiatry and beyond. Furthermore, we will focus on the feasibility of these interventions and their (preliminary) effects on psychological and and/or psychophysiological functioning. Finally, suggestions for future research and implementation of biocueing and ambulatory biofeedback as adjuncts to treatment will be considered.

2. Methods and materials

This review is conducted and reported in accordance with the Preferred Reporting Items for Systematic reviews and Meta-Analyses for Protocols 2015 (PRISMA-P 2015) guidelines (Moher et al., 2015). The review has been registered in PROSPERO International Prospective Register of Systematic Reviews (crd.york.ac.uk/prospero, registration number: 112586).

2.1. Information sources

A systematic literature search was performed using the databases Web of Science, PubMed, EMBASE, PsychINFO and ACM Digital Library. The search was conducted in March 2020. Given the focus of this review on recent advances in biocueing and ambulatory biofeedback research, the start of the search period was set to 2007. The search was limited to language other than English or Dutch; conference, poster or presentation abstracts and 6) use of another intervention instead of biocueing or ambulatory biofeedback (e.g. pharmacotherapy, yoga, mindfulness training). Neurofeedback studies were excluded as well, since neurofeedback devices do not allow ambulatory measurement in everyday life.

2.2. Search strategy

First, we checked for ongoing reviews considering real-time monitoring, biocueing and/or ambulatory biofeedback and emotion regulation in the WHO international clinical trial registry platform (WHO ICTRP) and the Clinical.gov databases. No ongoing reviews with the same scope were found. The key concepts “biofeedback” or “wearable” or “biosensor” or “real-time monitoring”, were searched in combination with physiological parameters “heart rate” or “skin conductance” or “respiration” and terms considering (inadequate) emotion regulation such as “emotion regulation”, “self-regulation” or “aggression”. These key concepts were adjusted in order to fit the different bibliographic databases, applying appropriate (controlled) terms, database specific search fields and syntaxes. In addition, the authors reviewed the reference lists of relevant articles and review papers. See supplement A for the detailed search strategy.

2.3. Selection criteria

We decided to include published experimental and pilot-studies in which new biofeedback interventions, using ambulatory technology, were used for a wide range of emotion regulation difficulties in psychiatric populations (according to DSM-IV-TR or DSM-5 criteria) and non-psychiatric populations. Exclusion criteria for the studies were: 1) use of a non-human sample; 2) use of a sample with physical conditions; 3) background articles and reviews; 4) articles only accessible in a language other than English or Dutch; 5) conference, poster or presentation abstracts and 6) use of another intervention instead of biocueing or ambulatory biofeedback (e.g. pharmacotherapy, yoga, mindfulness training). Neurofeedback studies were excluded as well, since neurofeedback devices do not allow ambulatory measurement in everyday life.

2.4. Selection procedure

First, duplicate publications were excluded. Titles and abstracts of studies resulting from the search were independently screened for eligibility by authors AtH and MN. Discrepancies in judgement for eligibility were discussed until agreement between reviewers was achieved. The reviewers used the web application Rayyan (Ouzzani et al., 2016) for the selection process. Subsequently all selected papers were read in full to check for all in- and exclusion criteria. Only two articles met the most strict criteria for inclusion. Therefore, the remaining papers were read to include studies using biocueing among non-psychiatric populations and studies investigating ambulatory biofeedback in psychiatric and non-psychiatric populations as well. Among the ambulatory biofeedback studies, we found eight studies in which the same samples were used. In these cases, the most recent (3) or complete (1) studies were included.

2.5. Data collection

AtH extracted the following data from the included studies: the study characteristics (sample size, research design), participant characteristics (age, gender and psychopathology), used device or application, modality and duration of biofeedback, outcome measures, feasibility information and effectivity outcomes. Regarding the feasibility and effectivity outcomes, we extracted both qualitative and quantitative information (psychological and physiological measures, statistical significance). Data extraction was checked by the second author (MN). Disagreements were resolved by consensus.

2.6. Risk of bias in individual studies

Three master students, EN, NvE and LS, independently assessed and rated methodological rigor, selection and reporting bias of the included studies using the PEDro checklist (Maher et al., 2003). Based on this checklist, the quality of a paper was considered ‘high’ in case of 8–11 points, ‘medium’ in case of 4–7 points and ‘low’ in case of 1–3 points. In case of discrepancy between the raters, the final score was based on the mean.

3. Results

3.1. Identified studies

The literature search yielded a total of 4149 unique study records. After title and abstract screening, 119 studies remained. The most common reason for exclusion after preliminary screening was the population: a non-human sample or a human population with physical problems (e.g. heart failure, asthma). The full text of the remaining 119 papers were examined in detail. Based on the in- and exclusion criteria described above, only two biocueing studies using a psychiatric sample were found. Two more biocueing studies were added, performed among non-psychiatric populations. Expansion of our search strategy made us include 26 ambulatory biofeedback studies; seven studies performed among psychiatric populations and 19 studies among non-psychiatric populations. After final selection, information from 30 studies was extracted and summarized. An overview of the selection process is visualized in Fig. 1.

3.2. Study quality

Of the 30 studies we selected for inclusion in this review, two studies were rated as high quality, 19 were rated as medium quality and 9 were rated as low quality. Based on recent guidelines (Koo and Li, 2016), interrater reliability between the three raters was excellent, ICC(3,k) = 0.91–0.97.

3.3. Study characteristics

In total, we included four biocueing and 26 ambulatory biofeedback
studies. Of all studies, 21 used a non-psychiatric sample and 9 studies were performed among psychiatric populations. Targeted psychological problems varied widely: aggressive behavior, post-traumatic stress, depression, anxiety and pregnancy-, work- and performance-related stress. Participants were predominantly adults (24 studies); young adults (5) and children (1) formed a minority. Different study designs were used: randomized controlled trials (6 studies), quasi-experimental studies (12), case studies (5) and pilot studies (8). A summary of the study characteristics is presented in Table 1.

3.4. Biofeedback characteristics

Different devices and applications were used in the selected studies. Considering the ambulatory biofeedback studies, most studies used the emWave device (12 studies), followed by the StressEraser (6). Other applications were the Positive Technology app (2), the RELAX app (1), the BART app (1), the Freespira (1), the EliteHRV app (1), the Breath Pacer (1), the STRS app (1), the Ripple shirt (1), the MioFuse heart rate monitor (1), a not specified heart rate monitor (1) and a multi-biosensor platform (1). In most studies, Heart Rate Variability (HRV) was chosen as biofeedback modality (23). Other studies used Heart Rate (HR; 3), respiration measures (2), Skin Conductance Level (SCL; 1) or a combination of measures (1). The duration of training and actual use of the biofeedback devices varied widely. In the majority of the bioecuing studies, a brief instruction was given or a user manual was provided. Actual frequency of use depended on the user; except for one, more structured study. In the ambulatory biofeedback studies, training varied from a single and brief instruction up to 10 training sessions. Participants in these studies were encouraged to train once to three times a day, with a total duration ranging from one week to eight weeks. A summary of the biofeedback characteristics can be found in Table 1.

3.5. Effectivity outcomes

In most studies, effectivity of the intervention was evaluated using psychological or physiological measures (37%) or a combination of both (57%). In two studies (7%), no quantitative measures were administered. An overview of significant \( p < .05 \) pre-post intervention changes (and group differences, if applicable) on at least one of the psychological or physiological outcome measures is presented in Fig. 2. The results of the biocueing studies – our primary focus – will be reviewed first and in more detail, followed by the ambulatory biofeedback studies; both categories subdivided into non-psychiatric and psychiatric populations.

3.5.1. Biocueing

3.5.1.1. Non-psychiatric populations. In general population samples, two studies were found in which devices enabled prompting of regulation strategies by physiological information. In one study, a systemic approach to biocueing was piloted among three children with violent or disruptive behavior (though without a formal diagnosis) and their parents in a multi-family group setting (McHugh et al., 2010). All children...
Table 1 Description of main characteristics of the selected studies.

<table>
<thead>
<tr>
<th>Study characteristics</th>
<th>Biofeedback characteristics</th>
<th>Outcomes</th>
</tr>
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<tbody>
<tr>
<td><strong>Study characteristics</strong></td>
<td><strong>Biofeedback characteristics</strong></td>
<td><strong>Outcomes</strong></td>
</tr>
<tr>
<td><strong>Author(s)</strong></td>
<td><strong>Participants</strong> (&lt;br&gt;(number; age range; gender))</td>
<td><strong>Design</strong></td>
</tr>
<tr>
<td><strong>Biofeedback among non-psychiatric populations</strong></td>
<td></td>
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<tr>
<td>Howell et al. (2016)</td>
<td>Society members interested in biosensing</td>
<td>N = 17 (&lt;br&gt;Age: 19–41) F:M = 53:47</td>
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<tr>
<td>McHugh et al. (2010)</td>
<td>Children with violent behavior</td>
<td>N = 3 (&lt;br&gt;Age: 10–15) F:M = 33:67</td>
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<tr>
<td><strong>Biofeedback among psychiatric populations</strong></td>
<td></td>
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<tr>
<td>Mackintosh et al. (2017)</td>
<td>Veterans with PTSD</td>
<td>N = 58 (&lt;br&gt;Age: 24–71) F:M = 0:100</td>
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<tr>
<td>Savard (2017)</td>
<td>Adolescents with anger-related diagnosis or behavior</td>
<td>N = 5 (&lt;br&gt;Age: 15–18) F:M = 20:80</td>
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<tr>
<td><strong>Ambulatory biofeedback among non-psychiatric populations</strong></td>
<td></td>
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<tr>
<td>De Bruin et al. (2016)</td>
<td>Stressed young adults</td>
<td>N = 75 (&lt;br&gt;Age: 18–40) F:M = 73:27</td>
</tr>
<tr>
<td>Gaggioli et al. (2014)</td>
<td>Society members interested in stress therapy</td>
<td>N = 32 (&lt;br&gt;Age: n.r.) F:M = n.r.</td>
</tr>
<tr>
<td>Hasuo et al. (2019)</td>
<td>Family caregivers of cancer patients</td>
<td>N = 54 (&lt;br&gt;Age: n.r.; m = 63 F:M = 68:32)</td>
</tr>
<tr>
<td>Keeney (2009)</td>
<td>Pregnant woman with stress and anxiety</td>
<td>N = 7 (&lt;br&gt;Age: 18–45) F:M = 100:0</td>
</tr>
<tr>
<td>Kizakevich et al. (2019)</td>
<td>Military personnel, veterans and civilians</td>
<td>N = 328 (&lt;br&gt;Age: 20–60) F:M = 47:53</td>
</tr>
<tr>
<td>Kudo et al. (2014)</td>
<td>Mothers in early post-partum period with stress</td>
<td>N = 55 (&lt;br&gt;Age: n.r.; m = 33.4 vs. m = 30.5) F:M = 100:0</td>
</tr>
<tr>
<td>Lemaire et al. (2011)</td>
<td>Physicians with work-related stress</td>
<td>N = 40 (&lt;br&gt;Age: n.r.; m = 44.8 vs. m = 47.8) F:M = 42:58</td>
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<table>
<thead>
<tr>
<th>Study characteristics</th>
<th>Biofeedback characteristics</th>
<th>Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Design</strong></td>
<td><strong>Application</strong></td>
<td><strong>Modality</strong></td>
</tr>
<tr>
<td><strong>Biofeedback characteristics</strong></td>
<td><strong>Participants (number; age range; gender)</strong></td>
<td><strong>Implementation</strong></td>
</tr>
<tr>
<td>May et al. (2019)</td>
<td>Students</td>
<td>N = 90</td>
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<tr>
<td>McCrory et al. (2009)</td>
<td>Correctional officers with work-related stress</td>
<td>N = 75</td>
</tr>
<tr>
<td>McCrory and Atkinson (2012)</td>
<td>Police officers with work-related stress</td>
<td>N = 65</td>
</tr>
<tr>
<td>Narita et al. (2018)</td>
<td>Pregnant woman with anxiety about labor</td>
<td>N = 97 (38)</td>
</tr>
<tr>
<td>Poston (2018)</td>
<td>Pregnant women with depressive symptoms</td>
<td>N = 41</td>
</tr>
<tr>
<td>Ramey et al. (2016)</td>
<td>Police officers with work-related stress</td>
<td>N = 38</td>
</tr>
<tr>
<td>Serino et al. (2014)</td>
<td>Society members interested in stress therapy</td>
<td>N = 68</td>
</tr>
<tr>
<td>Schumann et al. (2019)</td>
<td>Society members interested in biofeedback</td>
<td>N = 24</td>
</tr>
<tr>
<td>Tanis (2012)</td>
<td>Female athletes with sports related mental stress</td>
<td>N = 13</td>
</tr>
<tr>
<td>Thurber (2007)</td>
<td>Student musicians with performance anxiety</td>
<td>N = 14</td>
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<tr>
<td>Weltman et al. (2014)</td>
<td>Police officers with work-related stress</td>
<td>N = 14</td>
</tr>
<tr>
<td>Wu et al. (2012)</td>
<td>Society members, half of them unemployed</td>
<td>N = 67 (33)</td>
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<thead>
<tr>
<th>Study characteristics</th>
<th>Biofeedback characteristics</th>
<th>Outcomes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Author(s)</td>
<td>Sample</td>
<td>Participants</td>
</tr>
<tr>
<td>Ambulatory biofeedback among psychiatric populations</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Eddie et al. (2015)</td>
<td>Young adults with substance use disorder</td>
<td>N = 41</td>
</tr>
<tr>
<td>Kim et al. (2012)</td>
<td>Patients with severe brain injury</td>
<td>N = 13</td>
</tr>
<tr>
<td>McClay and Spira (2009)</td>
<td>Military officer with adjustment disorder</td>
<td>N = 1</td>
</tr>
<tr>
<td>O’Neill and Findlay (2014)</td>
<td>Patients with traumatic brain injury and aggressive behavior</td>
<td>N = 2</td>
</tr>
<tr>
<td>Reiner (2008)</td>
<td>Patients with anxiety disorders and depression</td>
<td>N = 20</td>
</tr>
<tr>
<td>Tolin et al. (2017)</td>
<td>Patients with panic disorder</td>
<td>N = 48</td>
</tr>
<tr>
<td>Zucker et al. (2009)</td>
<td>Patients with substance use disorder and PTSD</td>
<td>N = 38</td>
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Note: *pp, significant pre-post intervention change (p < .05) on at least one of the outcome measures; *X > Y, significant difference (p < .05) between intervention and control group, favoring the intervention, on at least one of the outcome measures; X = Y, no significant difference (p < .05) between intervention and control group.

Abbreviations of terms and questionnaires: ACS, Attention Control Scale; BART, Biofeedback-Assisted Resistance Training; BCS, Brief Coping Scale; BF, Biofeedback; BDI-II, Beck Depression Inventory; B-IPF, Brief Inventory Psychosocial Functioning; BR, Breathing Rate; BRIEF-A, Behavior Rating Inventory of Executive Function-Adult Version; BRS, Baroreflex Sensitivity; BSI, Brief Symptom Inventory; CBCL, Child Behavior Checklist; CD-RISC, Connor-Davidson Resilience Scale; CES-D, Center for Epidemiologic Studies Depression Scale; CGI-S, Clinician Global Impression-Severity Scale; DAPS, Detailed Assessment of Posttraumatic States; DAR-5, Dimensions of Anger Reactions; DIA, Pupil Diameter; DOF, Direct Observation Form; EPDS, Edinburgh Postnatal Depression Scale; ESS, Epworth Sleepiness Scale; F/M, female-male ratio; FFMQ, Five Facet Mindfulness Questionnaire; FSS, Flow State Scale; GSQS, Groningen Sleep Quality Scale; HCT, Halstead Category Test; HITT, High Intensity Interval Training; HR, Heart Rate; HRV, Heart Rate Variability; IES, Impact Events Scale; IBIS, Insomnia Severity Index; IVA-CPT, Integrated Visual and Auditory Continuous Performance Test; JAS, Jenkins Activity Survey; m, mean; MQ, Maasricht Questionnaire; N, number; n.r., not reported; n.s., not significant; OASMNR, Overt Aggression Scale Modified for Neurorehabilitation; PACS, Parental Account of Children’s Symptoms; PAI, Performance Anxiety Inventory; PCL-5, PTSD Checklist for DSM-5; PCL-C, PTSD Checklist-Civilian Version; PDSS(1), Panic Disorder Severity Scale; PDSS(2), Postpartum Depression Screening Scale; PE, Physical Exercise; PES, Pregnancy Experience Scale; PFCO2, End-tidal CO2; PGI, Posttraumatic Growth Inventory; PHQ-9, Patient Health Questionnaire; PMR, Progressive Muscle Relaxation; POQA-R, Personal and Organizational Quality Assessment—Revised; PQSI, Pittsburgh Sleep Quality Index; PSS, Perceived Stress Scale; PSWQ, Penn State Worry Questionnaire; PWP, Personal Wellness Profile; RELAX, Remote Experiences for Learning Anger and Excitation Management; RCT, Randomized Clinical Trial; RR, Respiration Rate; RSES, Response to Stressful Experiences Scale; SBI, School Burnout Inventory; SCS, Self-Compassion Scale; SCL, Skin Conductance Level; SDS(1), Sheehan Disability Scale; SDS(2), Sleep Disturbance Scale; SF-12, Short Form Health Survey; STAI-Y, State Trait Anxiety Inventory (Y-version); STAXI, State Trait Anger Expression Inventory; SUS, System Usability Scale; TAU, Treatment As Usual; TFQ, Teaching Feedback Questionnaire; TRF, Teacher’s Report Form; VAS, Visual Analog Scale; W-DEQ, Wijma Delivery Expectancy/Experience Questionnaire; YSR, Youth Self-Report.
used heart rate breast band monitors, wirelessly connected with watch-like wrist bands. The monitor emitted an auditory signal when a specific threshold (compared to a personal baseline) was reached. In the first case, the use of the biofeedback system helped to show the child’s difficulty to verbalize emotions. In the second case, the system was used for breathing control and focused relaxation to facilitate a faster return to resting heart rate levels after a stressful event. In the last case, the alarm function of the monitor supported the child to take time to ‘cool down’. Teachers and parents reported increased self-control and anger management among pupils. Overall, the authors deemed the intervention helpful to reflect on triggers and to initiate (self-)calming strategies. They state that feedback from children and parents was consistently positive. In another study, the Ripple, a biosensing shirt measuring and displaying skin conductance levels, was piloted (Howell et al., 2018). Participants were observed, interviewed and encouraged to critically review the design. The Ripple system increased emotional awareness and reflection, but also yielded new questions. Whereas some participants related the displayed data to their own feelings in a natural way, others seemed to adjust their emotional experiences to the biosensing information. Furthermore, the system seemed to value some emotions over others; the absence or presence of display changes fostered insecurities in some participants. Authors emphasize that ethical issues should be considered in the future given the perceived authority of biosensing interventions.

3.5.1.2. Psychiatric populations. Two more biocueing studies were found among psychiatric populations. In one high-quality RCT, conducted among veterans with post-traumatic stress disorder, a mobile application system was added to regular anger management therapy (Mackintosh et al., 2017). This system consisted of an app, a wearable heart rate monitor, a remote server and a web-based therapist interface. The app could be used to increase awareness, to prompt and practice skills in daily life, to monitor symptoms and to record physiological data. Post-treatment, participants in both conditions demonstrated significant reductions in anger severity and reductions in PTSD-symptomatology; the experimental condition did not outperform treatment as usual. Participants who used the RELAX-app reported increased ease of use, increased helpfulness and decreased frustration over time. In another study, a multi-method AB-design was used to evaluate the therapeutic use of a personal heart rate monitor among adolescents with anger-related diagnosis and disruptive behavior (Savard, 2017). Adolescents were instructed repetitively in the use of the monitoring device and deployment of their personalized relaxation strategy when their heart rate elevated. In three out of five cases, a significant decline in anger and aggressive behavior was shown; the other cases showed a non-significant decline or mixed results. Linear regression showed that aggressive behaviors declined in self-report as well as in all parents’ and teachers’ reports, except for one teacher. Overall, participants were satisfied with the simplicity of the method. Some reported mechanical or tactile issues with the device or difficulty following the directions. The majority of the adolescents, parents and teachers reported that the intervention contributed to (small) behavioral changes.

3.5.2. Ambulatory biofeedback

3.5.2.1. Non-psychiatric populations. Our search yielded 21 ambulatory biofeedback studies conducted in general population samples that are known to be prone to stress, due to work- or performance related pressure or pregnancy. Four studies were conducted among police personnel and correctional officers. In a quasi-experimental study among correctional officers significant improvements in HRV and blood pressure and significant reductions in psychological stress measures were found in the biofeedback condition compared to the wait-list control condition (McCraty et al., 2009). A case-study among police staff, evaluating the STRSS biofeedback application, showed significant improvement on personal and organizational quality, including stress, post-intervention (Weltman et al., 2014). In a study among police officers significantly greater reductions in distress, negative emotions and depression were found for the experimental condition compared to wait-list control (McCraty and Atkinson, 2012). In another study among police officers, the addition of ambulatory biofeedback was associated with significant improvement in HRV and positive, although non-significant, changes on other psychological and physiological outcomes (Ramey et al., 2016).

Ambulatory biofeedback was also tested among other groups in which high (work- and performance related) stress levels are often reported. In a subset of a study among (former) military personnel and civilians HRV-values significantly decreased in a stressor task and significantly increased during biofeedback-assisted slow paced breathing (Kizakevich et al., 2019). In a RCT among physicians the perceived stress score in the biofeedback condition decreased significantly compared to support visits only (Lemaire et al., 2011). In an usability study evaluating a portable resonant breathing device among family caregivers of cancer patients, quality of life scores significantly improved post-intervention, though not better compared to the control condition (Hasuo et al., 2019). Low-frequency HRV-values improved significantly better in the biofeedback condition. Furthermore, a quasi-experimental study among athletes with sports-related mental stress showed no significant changes on individual (athletic) performance
control condition, as well as significant decreases in heart rate and in labor anxiety, self-reported fear of childbirth scores were significantly reduced in the experimental condition, whereas no significant changes were reported for the control group (Narita et al., 2018). In another study, two interventions to reduce school burnout (ambulatory biofeedback and physical workout) were compared to a wait-list control condition (May et al., 2019). Significant reductions in burnout symptomatology and blood pressure improvements were found in the biofeedback condition only. HR decreased significantly from pre- to post-intervention in both experimental conditions; not in the control condition.

Several other studies were conducted among women at different stages of pregnancy. In a study piloting ambulatory biofeedback among pregnant women with stress and anxiety, no significant reductions on anxiety or pregnancy specific stress measures were found (Keeney, 2009). However, HRV results indicated improved autonomic function post-intervention. In a quasi-experimental study among women with labor anxiety, self-reported fear of childbirth scores were significantly reduced in the experimental condition, whereas no significant changes were reported for the control group (Narita et al., 2018). In another quasi-experimental study, in which women with elevated prenatal depression scores self-selected their condition, a significant drop in depression scores was found in the experimental condition only (Poston, 2018). Lastly, in a quasi-experimental study among mothers in the early post-partum period, significant decreases in depression scores were found post-intervention for the biofeedback group compared to the control condition, as well as significant decreases in heart rate and increases in the standard deviation of the normal heartbeat interval (Kudo et al., 2014).

Furthermore, four studies were conducted in convenience samples of society members interested in stress research. In one study, HRV and baroreflex function significantly increased in the biofeedback condition; whereas performance on an impulsivity task did not improve (Schnemann et al., 2019). Furthermore, the authors of a quasi-experimental study state that their multi-biosensor platform is appropriate for decreasing sympathetic arousal, increasing parasympathetic activity and enhancing overall capability of ANS modulation, although the quantitative results of the ambulatory part of the intervention remained unclear (Wu et al., 2012). At last, two pilot-studies investigating a mobile biofeedback application were found, both part of the INTERSTRESS project (Wiederhold and Riva, 2012). In one study, 196 sessions (out of which 63 sessions with biofeedback, not separately analyzed) from 38 participants were used for analysis (Serino et al., 2014). In the other study 182 relaxation sessions from 7 participants were analyzed (Gaggioli et al., 2014); potential overlap in these samples remained unclear. Results of both studies indicated significant decreases in stress level and arousal, and an increase in valence after a stress management exercise. In both studies a non-significant pre-post decrease in mean heart rate values was found.

3.5.2.2. Psychiatric populations. Finally, nine ambulatory biofeedback studies were found among psychiatric populations. Two quasi-experimental studies were performed among young adults with substance use disorder. In one study the biofeedback condition demonstrated a greater, though not significantly different, reduction in substance craving compared to treatment as usual (Eddie et al., 2015). Although significant pre-post session increases in HRV levels were found in the experimental group, no chronic HRV changes were found for both groups after the entire intervention. In a similar study comparing Progressive Muscle Relaxation (PMR) and ambulatory biofeedback, both interventions showed significant reductions in comorbid symptoms of insomnia and PTSD (Zucker et al., 2009). Compared to PMR, significantly greater reductions in depressive symptoms, increased HRV-indexes and a trend for reduced substance craving were found in the biofeedback condition. Concerning depressed mood, anxiety and depression, one case-study evaluated the addition of ambulatory biofeedback to regular treatment of a military officer with adjustment disorder (McLay and Spira, 2009). Sleep improved significantly, though the intervention did not prevent the patient from developing more serious (PTSD-)symptoms later. Furthermore, a quasi-experimental study investigated a brief respiratory biofeedback program among patients with panic disorder. Significant decreases in panic severity, global illness severity and functional impairment, as well as a normalized amount of carbon dioxide in the exhaled air, were found post-intervention (Tolin et al., 2017). In another pilot-study among outpatient with anxiety disorder and comorbid depression, significant reductions in state anxiety, trait anxiety and trait anger were found post-intervention (Reiner, 2008). Qualitatively, reduction of stress and increased relaxation were often reported. Overall, higher compliance was associated with greater stress reductions.

Finally, two studies were conducted among patients with severe traumatic brain injury. In a quasi-experimental study, HRV indexes increased significantly compared to baseline after an ambulatory biofeedback intervention (Kim et al., 2012). Although no significant improvements were found on psychological measures, self-rating scores of the participants became more closely aligned to the perception of their family members, probably indicating enhanced emotional insight. Enlarged ability to recognize emotions was also found in two case-studies evaluating ambulatory biofeedback (O’Neill and Findlay, 2014). An increased ability to recognize frustration and to use relaxation strategies to prevent self-harm was reported in case 1; significantly enlarged self-efficacy and control to maintain a calm state in case 2.

3.6. Feasibility outcomes

Feasibility assessment varied widely, ranging from a combination of quantitative measures and extensive qualitative reports (7%), to qualitative information only (33%) or a brief report of the author’s opinion (37%). In seven studies (23%) no information regarding feasibility of the used devices was reported. In studies that (to a greater or lesser extent) assessed feasibility, the outcomes were predominantly positive (61%), positive yet critical, needing further improvement (30%) or mainly critical (9%). Most reports were positive, describing participants’ enthusiasm, perceived helpfulness and continued use of the devices. Insufficient adherence to the device, side-effects or disadvantages (e.g. sleepiness, frustration) and ethical questions were mentioned as requiring further attention.

4. Discussion

In this review, we aimed to provide an overview on effectivity and feasibility of bioecueing and ambulatory biofeedback in (addition to) treatment of emotion regulation difficulties, in non-psychiatric and psychiatric populations. Therefore, we performed a systematic literature search, which yielded 30 relevant studies. In the majority of the studies, the addition of bioecueing or ambulatory biofeedback yielded significant improvements on one or more self-reported (stress-related) psychological measures. In half of the studies using (less frequently assessed) physiological outcome measures, significant improvements were reported as well. Adherence to the bioecueing and ambulatory biofeedback devices was assessed in only a few studies. Although the feasibility of the devices was often reported to be sufficient, most studies lacked adequate feasibility assessment. Before we discuss these effectivity and feasibility results in more detail, we will focus on the differences between the two subsets of the included studies.

To create a broad overview of biofeedback interventions supporting daily practice aimed at enhancing emotion regulation skills, we included
both biocueing and ambulatory biofeedback studies. Although both
types of interventions use ambulatory devices, biocueing is the only
intervention that can actually prompt users in everyday life stressful
situations to practice regulation skills. Therefore, biocueing can be seen
as a further developed extension of ambulatory biofeedback. Matching
the relatively new status of this type of biofeedback, our review only
identified four studies that could be categorized as biocueing. Two of
these studies actually ‘cued’ participants in everyday life, when the
physiological measures reached a specific range, possibly indicating
emotional stress. (McHugh et al., 2010; Savard, 2017). In another study,
the possibility to prompt regulation skills was one of several options;
actual use of this function was not reported (Mackintosh et al., 2017). In
these studies, the biocueing system was used to initiate just-in-time (self-
)calming strategies and practice emotion regulation skills to prevent
disruptive or aggressive behavior in everyday life. In one study, the
system was also used to reflect on triggers at a later time and to discuss
the connection between physiological values and actual behavior
(McHugh et al., 2010). Taken into account the limitations of the study
designs, these pilot studies provide preliminary evidence that inter-
ceptive awareness, emotion regulation and self-control can be facilit-
ted by monitoring physiological measures and biocueing in everyday
life, in line with the results of previous studies (Fistos et al., 2013; Groot
et al., 2016; Novaco, 2007). In studies investigating ambulatory
biofeedback, portable or wearable devices were provided to support out-
of-session practice, often within a more traditional biofeedback training
paradigm. In most studies, applications were used in combination with a
personal computer, at home. In a few studies, applications enabled the
participants to practice in everyday life, using their smartphone, though
without the possibility to be cued in ‘at risk’-situations. Although bio-
cueing and ambulatory biofeedback differ in their applicability in
everyday life stressful situations, both interventions showed significant
results. Based on this review, biocueing seemed particularly useful for
participants with low emotional awareness and externalizing behavior,
whereas ambulatory biofeedback was mainly used among participants
with internalizing, stress-related problems.

Concerning effectiveness, pre-post results demonstrate (predomi-
nantly) positive effects of biocueing and ambulatory biofeedback. The
distribution of significant and non-significant results seemed not to
differ between non-psychiatric and psychiatric populations. Significant
improvements were mainly found on psychological measures, such as
decreased perceived emotional, social or organizational stress and
reduction of anxiety symptoms. In the smaller number of studies that
included physiological measures, a slightly smaller percentage of
significantly improved physiological functions, known to be related to
adequate emotion regulation (Crockett et al., 2017), was found.
Whether this difference might be due to, for example, the duration of
the intervention or social desirability, could not be derived from these
studies. Furthermore, the majority of the (quasi-)experimental ambu-
latory biofeedback studies showed significantly better results compared
to control conditions in non-psychiatric samples. Compared to other
mind-body interventions, such as mindfulness meditation and physical
exercise, ambulatory biofeedback was equally effective (De Bruin et al.,
2016) or showed interchangeable results (May et al., 2019). In psychi-
atric samples, only three (quasi-)experimental studies included control
conditions. In one study, ambulatory biofeedback was proven more
effective than progressive muscle relaxation (Zucker et al., 2009); in two
other studies, biofeedback interventions did show effective results, but
did not outperform the control condition as used (McHugh et al., 2015;
Mort et al., 2017). Based on the results of this review, biocueing and am-
latory biofeedback are considered useful treatment supplements, espe-
cially in case of low emotional awareness or insufficient response to
other interventions. Future studies should further evaluate their added
value compared to other interventions, preferably using (randomized)
controlled designs.

Looking at the practical features and feasibility of the used devices,
the StressEraser and emWave, designed to improve HRV (Lehrer, 2013)
and coherence (McCraty and Zayas, 2014) respectively, were applied
most in the ambulatory biofeedback studies. In the biocueing studies,
HR was used instead of HRV. This choice might have been made since
HRV-measurement using unobtrusive wrist worn sensors is sensitive to
motion artefacts and may therefore be less reliable for biocueing in
everyday life (Van Lier et al., 2019), whereas HR-measurement can be
corrected for movement using currently available wrist worn wearables
and appropriately designed applications (Derks et al., 2019). Further-
more, among the studies that were categorized as biocueing, patients
more often self-determined the time, frequency and place of practice.
The method and duration of training was less structured and often
shorter than in the ambulatory biofeedback studies. In most of these
studies, training consisted of several hours or even multiple days and
participants were encouraged to practice daily. These differences in
duration of training and actual use complicate interpretation of the re-
results. For example, if controlled for actual (frequency of) use, the effects
of the interventions could have been larger. Furthermore, the usability
of the devices was considered sufficient to good based on author reports
and (concise) qualitative user feedback. However, only in a few studies
feasibility and adherence were adequately measured, using usability
measures and qualitative interviewing. This is a clear shortcoming of
these studies, since it is known that many users stop using a health
application after two weeks, especially when their preferences and goals
are not met (Torous et al., 2019).

The results of this systematic review should be viewed in the light of
several limitations. First, our systematic search yielded only a small
number of biocueing studies, in which we had a specific interest
given the focus of this review on recent advances using new, wearable tech-
nology. Second, we did not limit the search strategy to specific study
designs. Although this allowed us to provide a complete overview, the
effectivity outcomes should be interpreted with caution due to the small
sample sizes and low to medium quality of the majority of the papers.
Third, due to the variation in designs, procedures and outcome measures
of the selected studies, a reliable meta-analysis could not be performed.
Therefore, studies were systematically and narratively reviewed.
Fourth, some studies, especially those investigating new approaches and
devices, lack a clear description of implementation procedures,
complicating future replication. Furthermore, in several studies using
more established devices, such as the StressEraser and emWave, pro-
tocols were not well specified; therefore, not all procedures might be
implemented according to the corresponding theoretical principles.
Fifth, some of the papers received commercial funding, which might
have influenced selective reporting of (positive) study results. Sixth, it is
important to note that outcomes of studies performed among non-
psychiatric populations cannot be generalized to psychiatric pop-
ulations. Given the small number of studies using a psychiatric sample,
no firm conclusions can be drawn regarding psychiatric patients with
(severe) emotion regulation difficulties.

4.1. Methodological considerations

To prevent an unwanted proliferation of biofeedback applications
without evidence of their effectivity, more and better designed studies
should be performed to validate future interventions (Bakker et al.,
2016; Leigh and Flatt, 2015). Among non-psychiatric samples inde-
dependent, larger and (randomly) controlled designed studies should be
performed. Meanwhile, case series and single case experimental designs
should be considered to investigate effectivity in small psychiatric
samples in which more robust research designs are not feasible (Smith,
2012). These individually tailored designs can also be used to evaluate
(smaller) changes in applications or to detect the optimal duration of
training and practice of interventions (Maric and Van der Werff, 2020).
Furthermore, to validate the effects of biofeedback interventions, self-
reported psychological measures should preferably be combined with
observed behavioral measures and physiological measures. Agreement
between research groups on validated outcome measures and
implementation procedures is also recommended. Regarding feasibility, adherence to and usability of the applications should be more thoroughly assessed by tracking actual use of the devices, administering system usability questionnaires and qualitative interviewing. Finally, although beyond the scope of this article, ethical questions about surveillance and privacy, agency and autonomy should be carefully considered (Sharon, 2017; Torous et al., 2019).

4.2. Conclusions and future directions

Despite the rapid developments in mobile health technology and the increasing number of wearable, non-invasive devices that can be used for everyday stress regulation as an addition to psychotherapy, it seems that these applications have only sparefully found their way into clinical research and practice (Derks et al., 2019). Although modern biosensors showed their value in monitoring and predicting behavior (Goodwin et al., 2019; Kuipers et al., 2012; Roushan et al., 2019) hardly any studies were found investigating bioecueing in an everyday life context. Still, the limited but promising results of the included studies seem to suggest that bioecueing and ambulatory biofeedback interventions can aid emotion or stress regulation, both on a psychological and physiological level. As such, the results support the potential of bioecueing and ambulatory biofeedback to enhance emotion regulation by delivering individually tailored feedback. Fitting within the actual trend of personalized medicine, individual differences should be taken into account: whereas bioecueing may help some patients to pay attention to physiological sensations in everyday life situations; others might get easily overwhelmed or irritated by this information (Cornet et al., 2017; Howell et al., 2018; Owens and Cribb, 2019). In the near future, we expect biofeedback research to be focusing more on bioecueing as a just-in-time adaptive intervention (Riley et al., 2015; Wang and Miller, 2019) supporting users to practice regulation skills in everyday stressful situations.

Declaration of competing interest

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Appendix A

Search strategy PubMed:


Appendix B. Supplementary data

Supplementary data to this article can be found at https://doi.org/10.1016/j.ijpsycho.2020.11.009.

References


