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# Climate mitigation in the Mediterranean Europe: An assessment of regional and city-level plans

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#### ABSTRACT

In Europe, regions in the Mediterranean area share common characteristics in terms of high sensitivity to climate change impacts. Does this translate into specificities regarding climate action that could arise from these Mediterranean characteristics? This paper sheds light on regional and local climate mitigation actions of the Mediterranean Europe, focusing on the plans to reduce greenhouse gases emissions in a representative sample of 51 regions and 73 cities across 9 Mediterranean countries (Croatia, Cyprus, France, Greece, Italy, Malta, Portugal, Slovenia, Spain). The study investigates: (i) the availability of local and regional mitigation plans, (ii) their goals in term of greenhouse gas emissions reduction targets on the short and medium-long term, and (iii) the impact of transnational climate networks on such local and regional climate mitigation planning. Results of this study indicate an uneven and fragmented planning, that shows a Mediterranean West-East divide, and a link with population size. However, overall, both regional and city action seem insufficiently ambitious with regards to meeting the Paris Agreement, at least at city level. While national frameworks are currently weak in influencing regional and local actions, transnational networks seem to be engaging factors for commitment (at city level) and ambitiousness (at regional level). The uneven and fragmented progress revealed by this study, does not align with the characteristics shared by investigated regions and cities in terms of environmental, socio-political, climatic and economic conditions. The results support the call of a common green deal at the Mediterranean level to further address specific Mediterranean challenges and related needs. This will allow to capitalise on

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available resources, generate local-specific knowledge, build capacities, and support Mediterranean regions and cities in preparing the next generation of more ambitious mitigation plans.

#### 1. Introduction

The Paris Agreement at COP21 made it imperative to fight climate change and to intensify actions and investments for a sustainable low carbon future. The Mediterranean Europe (ME) is particularly sensitive to climate change (Loizidou et al., 2016) with extremely different and challenging conditions regarding climate, environment (FAO, 2018), biodiversity, socio-political and demographic aspects in relation to the rest of Europe (UNEP/MAP, 2016). The Mediterranean region has a specific bioclimate with typical forest, fauna and flora. The region is characterised by important pressures on the environment while being highly vulnerable to climate change. For example, Mediterranean countries face high population growth, high tourism, wide urbanization and significant strain on coastal areas. The Mediterranean region is identified as one of the most vulnerable regions to climate change and defined as a major "hotspot" based on the results of global climate change projections (Giorgi and Lionello, 2008). In addition, intense environmental effects including rapid changes in the water cycle due to increased evaporation and lower precipitation, decrease in soil water storage capacity accelerating desertification as well as extinction of the most climate-sensitive or least mobile species and colonization by new species. Over the last decade the impacts of climate change have for instance particularly affected the ME region (Giorgi and Lionello, 2008), raising concerns about how countries, regions and cities in these areas can cope with the consequences of climate change while contributing to mitigating GHG emissions (Cramer et al., 2020).

The categorization of policies across regions but also the exchange of experience and knowledge between different regions, can lead to improved policy making and effectiveness (Karhinen et al., 2021). Countries in Mediterranean Europe share important similarities in terms of climate and socio-cultural elements, thus Mediterranean themed networks and policies are justified. Current initiatives, such as the call for a new climate pact made at the Euro-Mediterranean Regional and Local Assembly held in Barcelona in January 2020 (ARLEM, 2020), are pioneers and evidence of the policy interest in this topic. To advance the understanding it is important to assess to what extent there are commonalities in regional and local climate planning across the Euro-Mediterranean area. Hence, the analysis of climate and sustainability policies planned and implemented across Mediterranean cities, can inform future strategies. Such an analysis is even more important, as almost one in four citizens of the European Union do reside in the Mediterranean basin.

This paper explores the case for Mediterranean cities by analysing specifically how cities and regions are currently planning to address climate mitigation in ME (Olazabal et al., 2014). By analysing the plans to reduce greenhouse emissions, and under the hypothesis that ME regions and cities face similar challenges in mitigation policies, this study aims to generate knowledge around GHG reduction targets, needs and leadership in the Mediterranean area, to expand public policy of climate change planning and to explore a case for cross-border comparison and cooperation in ME in the field of climate change mitigation in the context of the European Green Deal (European Commission, 2019). This study explores cases for cross-border comparison and cooperation in ME in the field of climate change mitigation in the context of the European Green Deal (European Commission, 2019). Mitigation plans in 73 cities and their corresponding 51 regions - located in 9 countries of the ME, as defined by the Interreg MED Programme (Interreg MED Programme, 2020) - are retrieved and analysed.

This study presents a comprehensive assessment of the mitigation plans of Mediterranean Europe. The innovation beyond the state of the art of this study lies in the fact that this is the first comprehensive documentation and analysis of mitigation plans in the Euro-Mediterranean area and draws specific and general conclusions regarding the strategies implemented by the cities and regions and their ambition to combat climate change. This study informs future policy making initiatives and supports sustainable management practices of the urban environment in the Mediterranean basin.

#### 2. Literature review

The magnitude of climate change impacts on the Mediterranean Basin has been observed to exceed the average trend at the global level (Cramer et al., 2018). For example, higher frequency and intensity of droughts and heat waves have been already recorded, causing increased impacts such as heat stress (Polydoros et al., 2018), water scarcity and associated environmental problems in cities, such as degraded air quality (Lelieveld et al., 2012). Climate change-induced coastal hazard (Nicholls and Hoozemans, 1996) and river flooding (Ribas et al., 2020; Vinet et al., 2019) pose additional high risks, according to the vulnerability of the ME from both an ecological and a socio-economic perspective. Climate risks in the ME area are significant (Billé et al., 2013), due to both the magnitude and frequency of the hazards involved, the vulnerability of these areas and the limited adaptive capacity. The latter is due to the lack of financial tools, a great socio-economic diversity across population groups and poor or inadequate governance and conditions of urban infrastructures and settlements (Cramer et al., 2018).

This calls for a distinction of the ME as regards climate governance in relation to the rest of Europe. For example, sectorial analyses such as those focused on health (Linares et al., 2020) or water (Iglesias et al., 2007) emphasised the need for enhanced cross-border collaboration, due to the fact that these risks require a multi-stakeholder and multi-institutional approach. The case for a Mediterranean distinction, is also subject to the context of energy efficiency and carbon neutrality in cities, since many challenges, such as low-income housing, urbanization and behaviours are common in these areas (Baabou et al., 2017), being crucial factors for both climate vulnerability and carbon footprint. In line with this, a new climate pact was called at the Euro-Mediterranean Regional and Local Assembly held in Barcelona in January 2020, to strengthen cooperation and facilitate new financial tools to accelerate the efforts to adapt and build resilience by delivering climate action across the Mediterranean region (European Committee of the Regions, 2020). However, the case for such collaboration in the field of climate mitigation action in the Mediterranean area, has not been properly addressed yet. Previous research in this field focused on understanding the North-South divide in Europe regarding emission reduction targets (Reckien et al., 2014) and cross-national policy (Heidrich et al., 2016), the level of national climate leadership in Mediterranean countries (De Gregorio Hurtado et al., 2014), and the influence of transnational networks on local climate plans (LCPs) (Reckien et al., 2015). However, to the best of the authors knowledge, there are no systematic assessments of the similarities and differences of climate actions undertaken at different governance levels across Mediterranean Europe.

Science and engineering need to be concerned in the direction of a rapid response to climate change (Schneider and Sanguinetti, 2021). Multidimensional climate management is the key to the commitment of sub-national levels to achieve their respective national and international goals. An efficient cooperation among the various levels of government should be enhanced in order to better encourage and support local ingenuity (Pietrapertosa et al., 2021). When it comes to pursuing sustainable growth strategies, islands experience particular problems.

Legislation knowledge gaps persist, such as an absence of benchmarks, limited dissemination of information presented, and inadequate convergence across policy realms.

Local Climate Action Plans address major challenges of the urban built environment (Reckien et al., 2014). The environmental aspects extend beyond the promotion of alternative energy resources and cover multiple areas of sustainable development. Given that water is the main element restraining ecological performance, encouraging sustainable land management activities is a way to preserve the Mediterranean Basin hydrological cycle regulation in order to implement sustainable plans and achieve healhier and diversified habitat (Ruiz et al., 2020). Extensive and accelerated urbanization causes various challenges; among them is air quality that remains a concern in European cities, with different urban centers consistently breaching the European Union Air Quality Standards for PM<sub>2.5</sub> and NO<sub>2</sub> concentrations (Wang et al., 2020). Sustainable urban mobility initiatives are an effective regulatory tool for improving the urban transportation system and standard of living (Pisoni et al., 2019). Green infrastructure (GI) reinvigorates trees and soil, retains hydro-ecological cycles disrupted by urban development, and regulates wastewater naturally on-site, providing various sustainability advantages (Tiwary et al., 2016). The adoption of GI in Mediterranean Europe did not evolve at the same rate as in other European regions. Social recognition can be developed through the understanding of GI via education and awareness and removing conceptual obstacles as a consequence (Dhakal and Chevalier, 2017). The latest data indicate that European countries are still not established to fulfill the Paris Agreement, a target of which was net-zero emissions about the year 2050. On the contrary, immediate actions are needed to achieve the stated average mitigation target of GHG reduction by 47% across European cities (Salvia et al., 2021). Further research should be directed toward elucidating the role of data and mechanization in supporting the mutual wisdom of smart cities for the benefit of urban sustainability (Palumbo et al., 2021). Smart cities are the leading movement towards a tenacious ecosystem. In the fields of resource efficiency, intelligent mobility, intelligent living, and sophisticated environment, smart buildings are more likely to enhance their smartness through the use of advanced planning (Apanaviciene et al., 2020).

#### 3. Materials and methods

#### 3.1. Sample of ME regions and cities

This work focused on 9 ME countries: France, Italy, Spain, Greece, Portugal, Croatia, Slovenia, Cyprus, Malta. In order to identify the sample of regions and cities, the boundaries of the study area were defined by combining information on the European countries and regions within the Interreg MED cooperation area 2014–2020 (Interreg MED Programme, 2020) with cities in those regions which are included in the Urban Audit (UA) database (Eurostat, 2020a). The term "regions" in this work corresponds to NUTS level 2 regions, as reported in Table 1 together with the respective cities. The UA database provides a balanced and representative sample of cities in terms of population, geographical distribution and city size. Fig. 1 shows the sampled regions and cities.

The Mediterranean sample includes peculiarities for smaller regions, e.g. in *Cyprus* and *Malta* the NUTS level 2 region coincides with the country area (European Parliament and of the Council, 2013), thus it does not represent a separate administrative entity. The same happens for *Croatia* where the NUTS level 2 regions (Adriatic Croatia and Continental Croatia) are statistical constructs, which will probably change in the near future (Ministry of Regional Development and EU Funds, 2020). Regions in Slovenia (Eastern Slovenia and Western Slovenia) do not represent real administrative entities for regional planning, but are responsible for the implementation of the EU Cohesion Policy programmes (Cohesify, 2017).

The *sample of 51 regions* (at Eurostat NUTS level 2) covers a total population of 124.4 million inhabitants in 2019 (Eurostat, 2020b). Italy

#### Table 1

Overview of the Countries, Regions and Cities included in this study. In *italics* the NUTS level 2 regions, which do not correspond to administrative regional entities, are highlighted.

| Countries | Regions (No.)                      | Cities (No.)                      |
|-----------|------------------------------------|-----------------------------------|
| Croatia   | Adriatic Croatia, Continental      | Zagreb, Rijeka, Slavonski, Brod,  |
|           | Croatia (2)                        | Osijek, Split (5)                 |
| Cyprus    | Cyprus (1)                         | Lefkosia (1)                      |
| France    | Corse, Languedoc-Roussillon,       | Marseille, Lyon, Toulouse, Nice,  |
|           | Midi-Pyrénées (now Occitanie),     | Montpellier, Saint-Étienne,       |
|           | Provence Alpes Côte d'Azur,        | Grenoble, Ajaccio, Toulon, Aix-   |
|           | Rhône-Alpes (now Auvergne-         | en-Provence (10)                  |
|           | Rhône-Alpes) (5)                   |                                   |
| Greece    | Eastern Macedonia, Thrace,         | Athina, Thessaloniki, Pátra,      |
|           | Central Macedonia, West            | Iraklio, Larisa, Volos, Ioannina, |
|           | Macedonia, Epirus, Thessaly,       | Kavala, Kalamata (9)              |
|           | Ionian Island, Western Greece,     |                                   |
|           | Central Greece, Peloponnese,       |                                   |
|           | Attica, North Aegean, South        |                                   |
|           | Aegean, Crete (13)                 |                                   |
| Italy     | Abruzzo, Apulia, Basilicata,       | Roma, Milano, Napoli, Torino,     |
|           | Calabria, Campania, Emilia-        | Palermo, Genova, Firenze, Bari,   |
|           | Romagna, Friuli-Venezia Giulia,    | Bologna, Catania, Venezia,        |
|           | Lazio, Liguria, Lombardy,          | Verona, Cremona, Trieste,         |
|           | Marche, Molise, Piedmonte,         | Perugia, Ancona, l'Aquila,        |
|           | Sardinia, Sicily, Tuscany, Umbria, | Pescara, Campobasso, Caserta,     |
|           | Veneto (18)                        | Taranto, Potenza, Catanzaro,      |
|           |                                    | Reggio di Calabria, Sassari,      |
|           |                                    | Cagliari, Padova, Brescia,        |
|           |                                    | Modena, Foggia, Salerno (31)      |
| Malta     | Malta (1)                          | Valletta, Gozo (2)                |
| Portugal  | Algarve, Alentejo, Lisboa e Vale   | Lisboa, Setúbal, Faro (3)         |
|           | do Tejo (3)                        |                                   |
| Slovenia  | Eastern Slovenia, Western          | Ljubljana, Maribor (2)            |
|           | Slovenia (2)                       |                                   |
| Spain     | Andalusia, Catalonia, Valencian    | Barcelona, Valencia, Sevilla,     |
|           | Community, Aragon, Balearic        | Zaragoza, Málaga, Murcia, Palm    |
|           | Islands, Murcia (6)                | de Mallorca, Córdoba, Alicante,   |
|           |                                    | L'Hospitalet de Llobregat (10)    |

(48%), Spain (20%), and Greece (9%) are the biggest contributors in terms of population. The *sample of 73 cities* covers a population of 27, 109,575 inhabitants, which varies from 32,723 inhabitants of Gozo (Malta) to 2,872,800 inhabitants of Rome (Italy), with an average value of 371,364 inhabitants across the entire city sample. Overall, the sample covers highly different region and city realities in terms of population size providing a good input for the study.

## 3.2. Methodological approach for the collection and analysis of planning documents

The methodological approach was based on four key research steps as presented in Fig. 2 and described below.

Relevant climate planning documents were first searched on the web, both using the official language of the country (to get access to national and regional sources) and English. Then the search was extended to data repositories provided by the transnational climate alliances listed in Table 2. At the regional level, the investigation focused on plans with a clear reference to climate change and mitigation in the title. In case these were not available, other types of planning documents were collected, including regional energy plans or strategic development plans with region-wide targets on the reduction of greenhouse gases (GHG) and  $CO_2$  emissions.

A repository of all the analysed municipal and regional plans (M-LCP and R-LCP), accessible through their web sources, is provided as Supplementary Materials. In particular, local climate mitigation plans (M-LCP) for UA cities were extracted from a previous study by these authors (Salvia et al., 2021) and the related dataset ([dataset] Salvia et al., 2020). The M-LCP for these cities were catalogued and analysed following the methodology described by the authors in (Reckien et al, 2018, 2019), which distinguishes among the following plans and



Fig. 1. The selected regions and cities (resident population expressed in number of inhabitants).

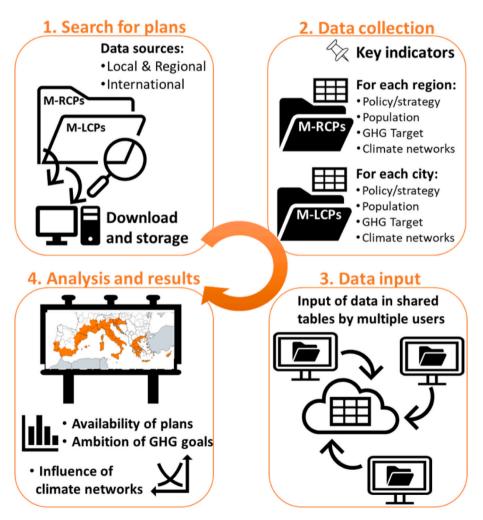


Fig. 2. Flowchart of the research method utilized in this study (M-LCP: Local Climate Mitigation Plans; M-RCP: Regional Climate Mitigation Plans).

Table 2

National mitigation frameworks.

| Country          | Name of the policy (strategy/action plan/other) – Year of adoption   | GHG goal  | National obligation to develop regional and/<br>or urban mitigation plan  |
|------------------|--|---|---|
| Croatia<br>(HR)  | National Energy and Climate Plan (NECP) – adopted in 2019  | NECP sets the goal of achieving 43% GHG reduction in<br>the ETS sector by 2030 and 7% for non-ETS sectors,<br>compared to 2005.   | No  |
|                  | Low Carbon Development Strategy (LCDS) until 2030<br>with outlook until 2050 – adopted in 2021<br>National Energy Strategy (NES) until 2030 with outlook<br>until 2050 – adopted in 2020   | LCDS sets the goal of achieving 33.5% GHG reduction in<br>2030 compared to 1990.<br>NES sets the goal of achieving 35.4% GHG reduction in<br>2030 compared to 1990.   |   |
| Cyprus<br>(CY)   | Cyprus' Integrated National Energy and Climate Plan -<br>adopted in 2020<br>Before CINEC, the NREAP was setting the objective of<br>reducing GHG by at least 20% in 2020   | Sets the goal of achieving 24% GHG reduction by 2030.<br>Industry, Buildings' Carbon Neutrality by 2050.  | Supportive reference to the Cyprus'<br>Government Initiative for a Regional Action<br>Plan for the Eastern Mediterranean & Middle<br>East Countries |
| France<br>(FR)   | Stratégie Nationale Bas-Carbone (SNBC) – adopted in<br>2015, revised in 2018–2019<br>Before the SNBC, the Grenelle II law was setting the<br>objective of reducing GHG by at least 20% in 2020   | Sets the goal of achieving carbon neutrality by 2050<br>(divide at least by 6 carbon emissions by 2050 compared<br>to 1990)   | Yes since the Grenelle II law of July 2010  |
| Greece<br>(EL)   | National Energy and Climate Plan (NECP) – adopted in<br>2019<br>National Strategy for Adaptation to Climate Change<br>(NSACC) – adopted in 2016  | The Greek NECP has the goal of reducing GHG emissions<br>by at least 56% in 2030 compared to 2005.<br>The Greek NSACC sets the general objectives, guidelines<br>and means of implementing an effective and<br>developmental strategy for adapting to climate change. | Yes since the Law 4414/2016 (article 43) of 2016.   |
| Italy (IT)       | National Energy and Climate Plan (NECP) – 2019 (<br>Ministry of Economic Development et al., 2019)   | The NECP was drawn up in close collaboration with the regions with the aim of reducing GHG emissions by at least 40% in 2030 compared to 1990.  | No  |
| Malta<br>(MT)    | Malta's 2030 National Energy and Climate Plan (NECP) -<br>2019   | By 2030 reduce GHG emissions by 19% below the 2005 emissions  | No  |
| Portugal<br>(PT) | National Energy and Climate Plan 2030 (PNEC2030) –<br>2019   | The PNEC2030 does not include the regional/local dimension and has the goal of reducing GHG emissions by at least 45–55% in 2030 compared to 2005.  | No  |
|                  | National Carbon Neutrality Roadmap (RNC2050)<br>(Portuguese Government, 2019)  | RNC2050 sets the goal of achieving carbon neutrality for<br>the whole of the country by 2050. The specific<br>contribution of cities and regions is not addressed.  |   |
| Slovenia<br>(SI) | Comprehensive National Energy and Climate Plan of the<br>Republic of Slovenia – NEPN ("Celoviti nacionalni<br>energetski in podnebni načrt Republike Slovenije),<br>adopted by Government of the Republic of Slovenia<br>(February 28, 2020) | NEPN is planned for the period up to 2030 (with a view to 2040);<br>By 2030, GHGs will decrease by 25% compared to 2017<br>and by 36% compared to 2005.   | No  |
|                  | Resolution on the Long - Term Climate Strategy of<br>Slovenia until 2050 ("Resolucija o Dolgoročni podnebni<br>strategiji Slovenije do 2050"), adopted by Government of<br>the Republic of Slovenia (April 21, 2021)                         | By 2050, Slovenia will reduce greenhouse gas (GHG)<br>emissions by 80–90% compared to 2005 and improve<br>sinks, while accelerating the implementation of policies<br>to adapt to climate change and ensure the climate<br>security of the population.                |   |
| Spain (ES)       | Integrated Energy and Climate Plan - Plan Nacional<br>Integrado de Energía y Clima (PNIEC) 2021–2030 -<br>Adopted in 2020  | PNIEC 2030 has the goal of reducing GHG emissions by 23% in 2030 compared to 1990, which implies reducing current emissions by 33%.   | Νο  |

documents:

- (i) comprehensive plans, autonomously developed or nationally induced;
- (ii) plans developed within transnational climate networks, mainly the Sustainable Energy and Climate Plans developed within the Covenant of Mayors for Climate and Energy;
- (iii) planning documents that integrate mitigation policies;
- (iv) sectoral plans (e.g. energy plans), provided that they include citywide targets.

The second step dealt with the content analysis of the collected M-LCP and M-RCP on the basis of a common set of qualitative and quantitative indicators. For M-RCP, these included the name of the region, the country, the population, the name of the policy/strategy, the target type and scale, the target and the base year and a short target summary. Analogously, the data collected for M-LCP included the name of the city and its population, the name of the plan/strategy, the GHG/CO<sub>2</sub> emission target, the target and the base years, and the description of the carbon neutrality target (if available).

All this information was completed with data on the participation of regions and cities in the transnational climate alliances listed in Table 3. In particular, the main commitments of *regions* to address climate

change were gathered from the Data Partnerships for the Non-State Actor Zone for Climate Action (NAZCA) global platform (United Nations Climate Change, 2020).

The diversity of languages spoken across the ME required language proficient analysts, who extracted data from the identified planning documents and reported it into shared cloud platform spreadsheets.

Making use of such data, the analysis was structured around three research themes:

- (i) The availability of urban and regional mitigation plans,
- (ii) The goals and strategies to reduce greenhouse gas emissions on the short and medium-long term (reported in these plans);
- (iii) The impact of transnational climate networks on such local and regional climate mitigation planning.

These themes correspond to the three Sections of Chapter 4 (Results) below.

#### 3.3. Data analysis methodology

To explore how cities and regions are currently planning to address climate mitigation in the ME, simple descriptive statistics and graphical analysis was applied to the key research themes and variables mentioned above. The analysis was inspired by the approach used in (Reckien et al., 2018).

Accordinly a database was built, detailing, for each one of the 61 cities and 51 regions the:

- Existence of a Mitigation climate plan;
- City/region population;
- GHG mitigation targets, including: target year (classified as short term up to 2030 inclusive and long term), base-year, target value (in % mitigation) and indication if ambition is to achieve carbon neutrality;
- influence of international networks in R-LCP development, such as Covenant of Mayors, including existence of SEAP and/or SECAP plans.

The plans were analysed individually for each city and region and the availability of plans were plotted against city/region population size. Next the ambition of the local mitigation plans was analysed and graphically illustrated with respect to their GHG emission reduction targets as well as their timeline (i.e. base and target year). Finally, to analyse the influence of international networks, the significance of each network was calculated as a simple percentage of "member" cities/regions of each network over total cities/regions in the sample.

Table 3

Main transnational climate networks among European regions and cities considered in this study.

| Network   | Main aim   | Website   |  |  |  |
|---|--|---|--|--|--|
| Regions   |  |   |  |  |  |
| Under2 Coalition                                      | It "is a global community of state<br>and regional governments" which<br>"include signatories to the Under2<br>Memorandum of Understanding<br>(MoU) as well as national endorsers<br>and other supporters".  | https://www.<br>under2coalition.org/              |  |  |  |
| The Climate Group                                     | It aims "to accelerate climate<br>action by bringing together<br>powerful networks of business and<br>governments that shift global<br>markets and policies"   | https://www.th<br>eclimategroup.org/              |  |  |  |
| Regions4  | It claims to be "the global voice of<br>regional governments (states,<br>regions and provinces)" and<br>represent them "in climate fora,<br>primarily the UNFCCC<br>Conferences."  | https://www.regi<br>ons4.org/                     |  |  |  |
| Cities  |  |   |  |  |  |
| Covenant of Mayors<br>for Climate and<br>Energy (CoM) | It "is the world's largest movement<br>for local climate and energy<br>actions". "Signatory cities pledge<br>action to support implementation<br>of the EU 40% greenhouse gas-<br>reduction target by 2030 and the<br>adoption of a joint approach to<br>tackling mitigation and adaptation<br>to climate change." | https://www.<br>eumayors.eu/en/                   |  |  |  |
| C40 Cities (C40)                                      | "C40 is a network of the world's<br>megacities committed to<br>addressing climate change. C40<br>supports cities to collaborate<br>effectively, share knowledge and<br>drive meaningful, measurable and<br>sustainable action on climate<br>change."   | https://www.c40.<br>org/                          |  |  |  |
| Climate Alliance<br>(CA)                              | The CA "city network stands for a<br>holistic approach to climate action,<br>linking concrete local solutions<br>with global responsibility". It "has<br>become a powerful community for<br>climate change mitigation and<br>adaptation".  | https://www.climat<br>ealliance.org/home.<br>html |  |  |  |

#### 4. Results

The availability and distribution of plans in the ME area (Section 4.1) is first presented, then the emission reduction targets for  $GHG/CO_2$  set by the plans (Section 4.2) and finally the participation of the sampled regions and cities in transnational climate networks (Section 4.3).

#### 4.1. Plans distribution

M-RCP were found in 15 out of the 51 analysed Mediterranean regions (29.4%). The French and Spanish regions prove to be the most active in terms of climate mitigation planning. This is due to the fact that, in France, Regional Climate Air Energy Plans (SRCAE - Schéma Régional Climat Air Énergie) are compulsory since the Grenelle II Law while in Spain, which is a country structured around Autonomous Communities, all regions have a dedicated M-RCP. In Italy and Greece, the percentage of regions with a mitigation plan drops to 5.6% (Emilia Romagna, one out of the 18 analysed regions) and 7.7% (Attica), respectively. Cyprus and Malta set their GHG emissions target in their National Energy and Climate Plans (NECPs) for the period 2021–2030, here also representing the regions. The other regions in the studied Mediterranean countries have no regional mitigation plans.

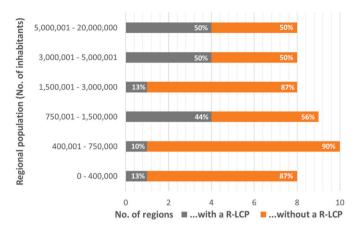
By discarding regions in France and Spain where the distribution of competences or regulatory structures has promoted regional mitigation planning, the results show that regions with larger population are more likely to have developed a regional mitigation plan (Fig. 3). For instance, in the sample, there are 18 regions with a population of less than 750,000 inhabitants, but only 2 of them have a plan. In contrast, half of the 16 regions with populations over 3 million have one.

Regarding planning at city-level, M-LCPs were found in 61 out of the 73 cities (83.6% of the sample) in the ME region. Following the classification of LCP conceived and tested in (Reckien et al., 2018), the results show that 75.4% of the available M-LCPs (46 out of 61) were developed in the framework of commitments such as the Covenant of Mayors for Climate and Energy (CoM) and are mainly Sustainable Energy Action Plans (SEAP) with 20-20-20 objectives. From these, only few cities (5 out of 46: Zagreb, Ioannina, Lisbon, Sevilla, Valencia) have developed Sustainable Energy and Climate Plans (SECAP), which integrate in the same plan both climate change mitigation and adaptation objectives, referring to 2030 as target year. These findings underline the strong influence of the CoM in driving the development of M-LCPs in the Mediterranean area. Nevertheless, a very limited number of these signatories present long-term visions with higher ambition.

Comprehensive (i.e. autonomously developed) mitigation plans were found only for 12 cities in the sample: 2 Spanish cities (Murcia and Barcelona) and the 10 French cities. It should be noted that in France such plans (called PCAET – Plan Climat Air Energie/Climate Air Energy Plan) are mandatory by national law for cities with more than 20,000 inhabitants and need to be aligned with the regional plans (i.e. SRCAEs). Altogether 3 cities in the sample (Maribor, Ljubljana and Perugia) set mitigation targets within their municipal energy (and environmental) plan. Furthermore, 16 out of the 61 M-LCPs (including the 5 SECAPs) deal with both mitigation and adaptation in an integrated manner. Such results can suggest a weakness in developing independent M-LCPs and joint approaches for adaptation and mitigation across the majority of cities in the ME region. This in turn may mean that cities in the ME have an overriding need for support from the highest levels of government, as well as from other stakeholders.

Again, the availability of city mitigation plans presents a relation with the city population (Fig. 4). Additionally, results show that a population over 300,000 inhabitants seems to be a good indicator of availability of a M-LCP i.e. all cities in the sample with the population larger than 300,000 have urban mitigation plans.

It is worth noting that only one third of the cities with M-LCPs (i.e. 24 out of the 61 cities) belong to regions with M-RCPs. Regional planning therefore does not seem to be a determinant factor for local climate



**Fig. 3.** Number of regions in the sample with and without plans, as a function of their population size.

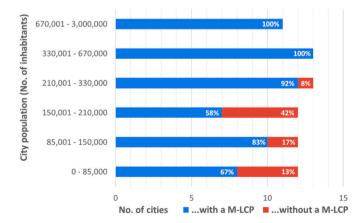
action in the ME area. However, what holds to be true is that regional planning in the Mediterranean area is likely to be found in countries with climate mitigation regulatory structures at national level and with a distribution of competences that enhance climate regional action.

#### 4.2. Greenhouse gas (GHG) emission reduction targets

Fig. 5 provides an overview of the GHG emission reduction targets, both for the short and the long term, reported in M-RCP.

Four regions (two in France, one in Greece and Italy) set a 20% GHG reduction target by 2020, whereas 5 Spanish regions set a target between 25% and 40%. Cyprus and Malta, in their NECP, have set respectively a target of 24% and 19% by 2030 compared to 2005 values. Only one region, the Valencian Community, has a plan that does not set targets on GHG emissions reduction arguing that the reduction significantly depends on the action of the national government. Fig. 5 also highlights the strong influence of the regions' membership of the Under2 Memorandum of Understanding (Under2MoU) on long-term reduction which makes the long-term commitments on climate neutrality compulsory.

An overview of the ambition of the analysed M-LCPs is provided by Fig. 6. The figure shows that 39.3% of the M-LCPs aim to reduce GHG emissions by 20%, 34.4% of the M-LCPs target reductions between 21 and 30%, 18.0% of cities set a target between 31 and 40%, and the remaining 8.2% considers more ambitious targets. However, more ambitious targets are always set for the long term, i.e. 2030, 2050. For example, Lisbon (Portugal) aims a 60% reduction of  $CO_2$  by 2030 compared to 2013 values, Sevilla (Spain) aims a 54% reduction of  $CO_2$ eq



**Fig. 4.** Number of cities in the sample with and without plans, as a function of their population size.

by 2030 compared to 2000 and Ajaccio (Italy) aims at 75% reduction by 2050 compared to 1990. In addition to this, 5 cities (6.8% of the overall city sample) strive for carbon neutrality by 2050: Venice and Milan (Italy) and Lisboa (Portugal) (the three of them are C40 cities), Padova (Italy) and Palma de Mallorca (Spain). The most ambitious activity seems to concentrate in countries such as Portugal, Spain and Italy.

#### 4.3. Integration in transnational climate networks

In the sample, 12 out of 51 (23.5%) regional governments (including Cyprus) are signatories of the *Under2 Coalition* (Under2 Coalition, 2020) with the Spanish region of Catalonia among the 12 founding signatories of this global community of state and regional governments committed to reducing emissions by 80–95%, or limit to 2 metric tons  $CO_{2eq}$  per capita, by 2050 or earlier. Moreover, 19.6% of the analysed regions are taking part in the *Annual Disclosure* initiative (The Climate Group, 2020), aimed at showcasing the level of ambition of states and regions in the field of climate mitigation and taking stock of the progresses made through measurement reporting and verification systems. Besides, 3 regions in the sample (5.9%) have joined *The Climate Group*, and 2 regions (3.6%) are involved in the *Regions4* platform, dedicated to issues such as sustainability, biodiversity and climate change (Regions4, 2020).

With regard to the mitigation planning, 83.6% of cities in the sample are signatory of the Covenant of Mayors for Climate and Energy (CoM) (EU Covenant of Mayors, 2020). The CoM therefore plays a predominant role in the action of cities towards climate mitigation. Signatories of the C40 network (C40 cities, 2018) are 9.6% (6 cities) of the total number of cities with M-LCP, and less than 3% are signatories of the Climate Alliance (Climate Alliance, 2020). The latter seems to have little influence on the Mediterranean cities, which is unlike in other parts of Europe (Salvia et al., 2021).

#### 5. Discussion

The results point out that, even in the Mediterranean area, there is an uneven progress regarding mitigation planning, at least considering the UA sample of cities located in the regions of the Mediterranean coastal area of Europe. Earlier work pointed towards a North-South divide of local climate action planning in Europe (Reckien et al., 2014, 2018; Heidrich et al., 2016). The results show a potential West-East divide with regions and cities in Spain, Portugal, France and Italy having more local mitigation plans than cities in Croatia, Slovenia and Greece, for example.

Regionally, the availability of plans appears to be concentrated in countries with stronger governance and regulatory structures (illustrated in the Spanish and French cases). Notwithstanding, at national level all European Mediterranean countries submitted their integrated National Energy and Climate Plans (NECP) (European Commission, 2020a), the adoption of which was a legal requirement under the Regulation (EU) 2018/1999 on the Governance of the Energy Union and Climate Action. With the NECPs member countries have set national energy and climate objectives for 2030 (2050 in France) and key policy priorities for the development of specific measures. The regional and local mitigation plans analysed here have been mostly developed some years before the NECP adoption and, thus, respond mainly to the 2020 EU climate framework. Many of these plans are now under review or will be in the near future in order to be framed within national, transnational commitments (including the Paris agreement).

The study shows, that in countries where there is not a legal obligation to develop regional and local mitigation strategies, regions and cities are reactive to advance in this policy field (with the exception of France). This supports the case for a Mediterranean Green Deal. This finding further confirms the previous hypothesis on the lack of correspondence among European-led national climate and local climate policies across Europe (De Gregorio Hurtado, 2020).

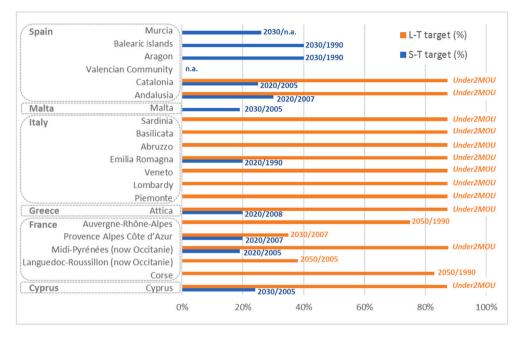


Fig. 5. Overview of the short-term (S-T) and long-term (L-T) mitigation targets in the analysed M-RCPs in the format (target year)/(base year). Under2MOU (the Under2 Memorandum of Understanding) refers to the common target of 80–95% reduction (average 87.5%) by 2050 referred to 1990 values (indicated here for other L-T targets as e.g. "2050/1990").

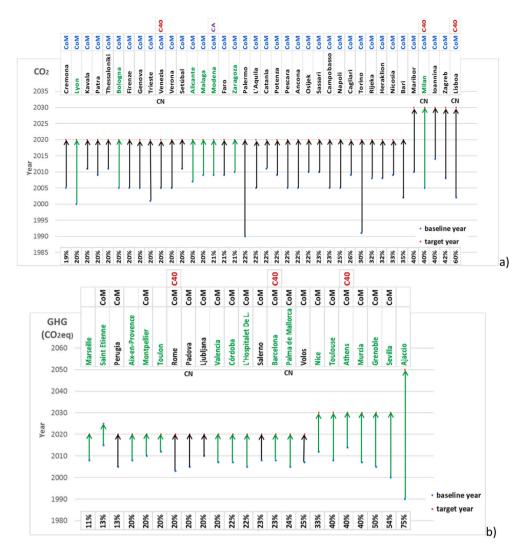
Overall, regions in Southern Europe are still laggards in climate planning. An exception is provided by the Mediterranean regions at the forefront of the climate movement: the French regions, where the Schéma Régional Climat Air Énergie/Regional Climate Air Energy Plan are compulsory by law, and by the Spanish regions, in particular the region of Catalonia, that passed in 2017 its Climate Law with the specific objective of boosting coordination among governance levels. Although the development of regional climate plans is still limited, the influence of transnational climate networks, such as the Under2 Coalition, inspires regions to move towards climate neutrality in the long term (2050). However, this translational commitment framework, may also inhibit the establishment of more tangible short-term targets at regional level, as shown in the results of the analysis where the majority of regions with M-RCPs plan for the longer term.

The study has pointed out the great complexity as regards the administrative level at which climate initiatives are carried out, particularly in the Southern East Mediterranean Europe (i.e. Croatia and Slovenia) where "regional" initiatives often do not correspond to any administrative or statistical regions/borders, but are more connected with historical connections among municipalities, common problems, socio-economic situation, or simply geographical proximity. Furthermore, in Portugal, for example, there is no regional authority with responsibilities on planning, but rather a national government that develops the entire spatial planning between the national and the city level. For this reason, there are no regional mitigation plans at NUTS 2 level. However, there is a more recent supra-municipal planning approach in Portugal acknowledging the importance of cooperation across "intermunicipal communities" (NUTS 3 level) also regarding climate action. The lack of structure is not only affecting regional planning but also local plans availability. For example, in Malta, existing CoM plans are implemented by different local councils which are part of the denominated European UA city (sampled in this study). The plans that do not cover the entire UA city have not been considered in this study, according to the data collection protocol designed in Reckien et al. (Reckien et al., 2019) and further improved in Salvia et al. (Salvia et al., 2021).

Overall, even in the absence of a timely and precise framework of national and regional coordination, European Mediterranean cities have advanced their climate planning and put in place coordinated actions to mitigate climate change. A clear pattern has been identified in relation to the size of the regions and the cities, having explicit climate action when the population is higher. However, further statistical work needs to support these findings as the sample is clearly dominated by big Western Mediterranean cities. This has been partly facilitated by the strong role of transnational climate networks such as the CoM in the Mediterranean area. On the other hand, this strong link to the CoM leads to a less ambitious, moderate situation in terms of mitigation targets (mostly up to 25% emission reduction). Most of them also refer to a rather short timeframe, i.e. the year 2020, which means that the existing SEAPs will need to be updated to (hopefully) more integrated and ambitious SECAPs in the coming years.

The submission of NECPs, the increased EU climate ambition (from 40% to 55% reduction of GHG) for the 2030 horizon announced by the European Commission in September (European Commission, 2020b), the necessity of the Member States to reinforce their efforts to meet the carbon neutrality proposed by the European Green Deal in 2050 in the framework of the European Climate Law (being developed), will potentially change the scene addressed by this study. In this renovated framework regions and cities' mitigation strategies will be crucial to accomplish Member States commitments, and presumable they will be further integrated in climate decision making at different levels in the years to come.

At this stage, no evidence of common policy approaches were found that could be seen as a common "Euro-Mediterranean mitigation strategy". The results suggest that most cities and regions have been following very closely the transnational CoM template. This leaves little room (probably also due to lack of capabilities and resources) to identify and include their specific needs and perspectives, some of which are expected to be shared across the European Mediterranean areas. This study revealed some patterns in mitigation planning in ME regions and cities: i) regional climate action is highly dependent on national regulation and requirements; ii) larger regions and cities have stronger climate action; iii) short-term ambition (in terms of GHG emission reduction targets) both in regions and cities is generally limited; iv) transnational networks, such as CoM and Under2MoU for cities and regions, play important roles in influencing climate actions.



**Fig. 6.** Overview of mitigation targets in the analysed M-LCPs. Targets are expressed differently across cities in terms of  $CO_2$  (a) and GHG/ $CO_{2eq}$  (b). Cities highlighted in green located in regions with a M-RCP. "CN" indicates cities which strive for carbon neutrality on the long-term. Membership to transnational climate networks is also indicated (CoM - Covenant of Mayors, CA - Climate Alliance, C40). (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

The results show that cities in the ME region do not develop plans with high ambitions, longer vision, develop autonomous plans, and do not integrate adaptation elements as much as the other European cities (Reckien et al., 2018). There is clearly a common need for ME cities to be supported by higher government levels (especially the regional one), further highlighting a political gap between regional action plans, local climate action and national structures. However, the observed uneven and fragmented panorama on regional and local mitigation planning found by this study does not seem to follow a "Mediterranean pattern", where progress with mitigation planning can be connected with the characteristics shared by these regions and cities in terms of environment, socio-political, climatic and economic conditions. This may be due to a lack of Mediterranean cross-national cooperation and policy coordination, which forces Mediterranean cities and regions to turn towards the guidance provided either by their respective national framework (when available) or towards the aforementioned transnational networks. The results support the call for a common Green Deal at the Mediterranean level to further address specific Mediterranean challenges and related needs and capitalise on available resources in order to build capacities and knowledge and help Mediterranean regions and cities to provide the next generation of mitigation plans. Such type of action will also deliver benefits at national level, especially for the Mediterranean countries for which there is no clear national regulation on regional/local mitigation planning. Currently there seems to be, for most of the studied countries, a policy gap which causes a lack of integration between regional action plans, local climate action plans and

national climate mitigation strategies.

#### 6. Conclusions

The aim of this study was to generate knowledge around mitigation strategies of cities and regions in the Euro-Mediterranean Area and to explore the case for a Mediterranean Green Deal based on environmental, socio-political and economic common characteristics and similar challenges that Mediterranean regions and cities face. The main patterns of regional and city level mitigation planning were investigated to identify convergences and divergences of their planning practice in order to understand the need and adequacy of a specific Mediterranean policy in this field. Particularly, the study focused on the availability of plans, their emission reduction targets and the impact of transnational climate networks within a representative sample of 51 regions and 73 cities across 9 Mediterranean countries.

Regional mitigation plans were found in 29.4% of the analysed Mediterranean regions, mainly in France and Spain. In Italy and Greece only 7.7% and 5.6% regions have a plan, respectively. Croatia, Portugal and Slovenia have no regional mitigation plans and NUTS 2 level regions correspond to the whole of Cyprus and Malta. At city-level, 83.6% of the studied cities (61 cities out of 73) have a mitigation plan and of these, 75.4% are mainly SEAP for 2020 developed in the framework of transnational networks as the CoM. Only 12 cities have longer term mitigation targets, mainly for the year of 2030. Mitigation targets are modest with only 5 cities aiming for carbon neutrality. Most local climate plans focus exclusively mitigation, although in 16 cities plans deal with both mitigation and adaptation. Moreover, only one third of the cities with a mitigation plan (M-LCP) belong to regions with a similar plan (M-RCP).

European regions and cities will be involved in different ways and levels in the months and years to come to align their climate policy to NECPs guidelines and ambition, which will require an update of this study. Further research should also include the analysis of the sectors, types and contents of specific mitigation policies and further correlation with environmental, socio-economic and economic parameters across Mediterranean regions and cities. Moreover, further investigation should also involve an analysis of adaptation plans, including those at other local (e.g. metropolitan) and regional (e.g. counties or provinces) scales, which could further test this hypothesis. As the Mediterranean is the most vulnerable geographical area in Europe, this work could provides evidence on regions and cities' ambitiousness and proposed actions, understanding the relationships between climate adaptation and mitigation planning as well as the territorial patterns in addressing climate change. Additionally, future data updates and corresponding research will allow to analyse the impact of the European Green Deal implementation on regional and city climate planning and related ambitions as well as the impact of new national legal developments, e.g. the upcoming Spanish Law for Climate and Energy Transition.

#### Credit author statement

Monica Salvia: Conceptualization, Data collection and curation, Investigation and Visualization, Writing - original draft, Writing - review & editing, Marta Olazabal: Conceptualization, Data collection and curation, Investigation, Writing - original draft, Writing - review & editing, Paris A. Fokaides: Conceptualization, Data collection and curation, Writing - original draft, Writing - review & editing, Léa Tardieu: Data collection and curation, Investigation and Visualization, Writing - review & editing, Sofia G. Simoes: Conceptualization, Data collection and curation, Investigation and Visualization, Writing original draft, Writing - review & editing, Davide Geneletti: Data collection and curation, Writing - original draft, Writing - review & editing, Sonia De Gregorio Hurtado: Data collection and curation, Writing - original draft, Writing - review & editing, Vincent Viguié; : Conceptualization, Data collection and curation, Investigation and Visualization, Writing – review & editing, Niki-Artemis Spyridaki: Data collection and curation, Writing - original draft, Writing - review & editing, Filomena Pietrapertosa: Data collection and curation, Investigation and Visualization, Writing - review & editing, Byron I. Ioannou: Data collection and curation, Writing - original draft, Writing - review & editing, Marko Matosović: Data collection and curation, Investigation and Visualization, Writing - original draft, Alexandros Flamos: Conceptualization, Data collection and curation, Writing - review & editing, Mario V. Balzan: Data collection and curation, Writing - review & editing, Efren Feliu: Data collection and curation, Writing - review & editing, Klavdija Rižnar: Data collection and curation, Nataša Belšak Šel: Data collection and curation, Oliver Heidrich: Conceptualization, Writing - review & editing, Diana Reckien: Supervision, Writing - review & editing.

#### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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#### Appendix A. Supplementary data

Supplementary data to this article can be found online at https://doi.org/10.1016/j.jenvman.2021.113146.

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