

Note

# Tight bounds for break minimization in tournament scheduling <sup>☆</sup>

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## Abstract

We consider round-robin sports tournaments with  $n$  teams and  $n - 1$  rounds. We construct an infinite family of opponent schedules for which every home-away assignment induces at least  $\frac{1}{4}n(n - 2)$  breaks. This construction establishes a matching lower bound for a corresponding upper bound from the literature. © 2007 Elsevier Inc. All rights reserved.

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## 1. Introduction

A central problem in sports scheduling is the planning of round-robin tournaments where an (even) number  $n$  of teams plays  $n - 1$  rounds of matches in which they meet all other teams exactly once; every round consists of  $n/2$  matches. Tournament planning is often done in two phases. The first planning phase fixes the  $n/2$  matches in every round, and thus generates a so-called *opponent schedule*; Table 1 shows the example of an opponent schedule for  $n = 16$  teams. The second planning phase decides the location for every match in the opponent schedule: Which team will play at home, and which team will play away? If a team must play two consecutive matches away or two consecutive matches at home, the team incurs a so-called *break*. In general,

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Table 1

An opponent schedule for 16 teams, for which every home-away assignment induces at least 56 breaks

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	2	7	4	9	6	11	8	13	10	15	12	3	14	5	16
2	1	4	3	6	5	8	7	10	9	12	11	14	13	16	15
3	4	15	2	5	10	13	16	11	6	7	14	1	12	9	8
4	3	2	1	10	9	16	15	6	5	14	13	12	11	8	7
5	6	11	10	3	2	7	12	15	4	13	8	9	16	1	14
6	5	10	9	2	1	12	11	4	3	8	7	16	15	14	13
7	8	1	16	13	12	5	2	9	14	3	6	15	10	11	4
8	7	16	15	12	11	2	1	14	13	6	5	10	9	4	3
9	10	13	6	1	4	15	14	7	2	11	16	5	8	3	12
10	9	6	5	4	3	14	13	2	1	16	15	8	7	12	11
11	12	5	14	15	8	1	6	3	16	9	2	13	4	7	10
12	11	14	13	8	7	6	5	16	15	2	1	4	3	10	9
13	14	9	12	7	16	3	10	1	8	5	4	11	2	15	6
14	13	12	11	16	15	10	9	8	7	4	3	2	1	6	5
15	16	3	8	11	14	9	4	5	12	1	10	7	6	13	2
16	15	8	7	14	13	4	3	12	11	10	9	6	5	2	1

breaks are considered undesirable events, and one planning objective is to keep their total number small. For more information, we refer the reader to the paper [1] by de Werra (who started the mathematical treatment of the area) and the survey paper [3] by Rasmussen and Trick (who nicely summarize the current state of the area).

Post and Woeginger [2] studied the break minimization problem that arises in the second planning phase: For a given opponent schedule, find a home-away assignment with the smallest possible number of breaks.

**Theorem 1.** (Post and Woeginger [2].) *Every opponent schedule for  $n$  teams has a home-away assignment with at most  $\frac{1}{4}n(n - 2)$  breaks.*

Here is a quick probabilistic proof for this result in a graph-theoretic setting. For every team  $T$  and every round  $r$ , create a corresponding vertex  $(T, r)$ . If team  $T$  and team  $T'$  meet each other in round  $r$ , create an edge between  $(T, r)$  and  $(T', r)$ . For every even round  $r$  and team  $T$ , create an edge between  $(T, r - 1)$  and  $(T, r)$ . In the resulting bipartite graph, every connected component is an even cycle or a path, and hence allows exactly two different proper vertex colorings with the colors HOME and AWAY. For each connected component, randomly choose one of these two colorings, with probability  $p = 1/2$  and independently of the other choices. Consider an even round  $r$ : No team  $T$  will incur a break between rounds  $r - 1$  and  $r$ , and the probability that  $T$  incurs a break between rounds  $r$  and  $r + 1$  equals  $1/2$ . Hence, the expected overall number of breaks equals  $\frac{1}{4}n(n - 2)$ . There must exist a point in the underlying probability space that does not exceed this bound.

Post and Woeginger [2] give a polynomial time algorithm that computes a home-away assignment with at most  $\frac{1}{4}n(n - 2)$  breaks; their algorithm essentially derandomizes the above probabilistic argument. In this note we will demonstrate that the simple bound in Theorem 1 is in fact best possible for infinitely many values of  $n$  (more precisely: whenever  $n$  is a power of two).

Table 2  
A partial schedule for a quadruple in partition  $\mathcal{P}_1$

Team\round	1	2	3
$B(x)$	$A(x)$	$B(x + c)$	$A(x + c)$
$B(x + c)$	$A(x + c)$	$B(x)$	$A(x)$
$A(x)$	$B(x)$	*	$B(x + c)$
$A(x + c)$	$B(x + c)$	*	$B(x)$

## 2. The construction

Let  $k \geq 2$  be a power of two, and consider the finite field  $\mathbb{GF}(k)$  of characteristic 2; denote the additive identity by 0 and the multiplicative identity by 1, and let  $c$  be a generator of the multiplicative group. For every element  $x$  in  $\mathbb{GF}(k)$ , create two corresponding sports teams  $A(x)$  and  $B(x)$ . For these  $n = 2k$  teams construct the following opponent schedule with rounds  $r = 1, 2, \dots, 2k - 1$ .

- In round  $r = 1$ , teams  $A(x)$  and  $B(x)$  play each other.
- In round  $r = 2s + 1$  with  $1 \leq s \leq k - 1$ , teams  $A(x)$  and  $B(x + c^s)$  play each other.
- In round  $r = 2s$  with  $1 \leq s \leq k - 1$ , teams  $A(x)$  and  $A(x + (c + 1)c^{s-1})$  play each other, and teams  $B(x)$  and  $B(x + c^s)$  play each other.

It is easily verified that this construction indeed yields a feasible opponent schedule: Since the field has characteristic 2, in every fixed round the opponent of any team is the team itself. Since  $c^1, c^2, \dots, c^{k-1}$  is an enumeration of the non-zero elements of the field, every team plays every other team exactly once.

The opponent schedule depicted in Table 1 illustrates this construction for  $k = 8$  and  $n = 16$ ; the elements in  $\mathbb{GF}(8)$  are  $x_0 = 0$ , and  $x_i = c^i$  for  $i = 1, \dots, 7$ , with  $c^7 = 1$ . In Table 1 the team with number  $2i + 1$  corresponds to  $A(x_i)$ , and the team with number  $2i$  corresponds to  $B(x_{i-1})$ .

Now let us analyze the home-away assignments for this opponent schedule. For every integer  $s$  with  $1 \leq s \leq k - 1$ , define a partition  $\mathcal{P}_s$  of the  $n = 2k$  teams into  $k/2$  quadruples. Every quadruple in partition  $\mathcal{P}_s$  consists of two  $A$ -teams and two  $B$ -teams. Loosely speaking, the partition is centered around round  $2s$ .

- For  $s = 1$ , the two  $B$ -teams in every quadruple meet each other in round 2. Hence, for an appropriate choice of  $x$ , this quadruple contains the teams  $B(x)$  and  $B(x + c)$ . Furthermore, the quadruple contains  $A(x)$  and  $A(x + c)$ , the opponents of the  $B$ -teams in round 1.
- If  $2 \leq s \leq k - 1$ , then the two  $A$ -teams in a quadruple play each other in round  $2s$ . Hence, for an appropriate choice of  $x$ , this quadruple contains teams  $A(x)$  and  $A(x + (c + 1)c^{s-1})$ . Furthermore, the quadruple contains teams  $B(x + c^{s-1})$  and  $B(x + c^s)$ , the opponents of the two  $A$ -teams in the preceding round  $2s - 1$ .

Tables 2 and 3 depict part of the opponent schedule for a quadruple in rounds  $2s - 1$ ,  $2s$ , and  $2s + 1$ . The asterisks represent matches against opponents outside the quadruple; these matches are irrelevant for our further argumentation. A more compact, isomorphic version of these opponent schedules is depicted in Table 4.

Table 3

A partial schedule for a quadruple in partition  $\mathcal{P}_s$  with  $s \geq 2$

Team\round	$2s - 1$	$2s$	$2s + 1$
$A(x)$	$B(x + c^{s-1})$	$A(x + (c + 1)c^{s-1})$	$B(x + c^s)$
$A(x + (c + 1)c^{s-1})$	$B(x + c^s)$	$A(x)$	$B(x + c^{s-1})$
$B(x + c^{s-1})$	$A(x)$	*	$A(x + (c + 1)c^{s-1})$
$B(x + c^s)$	$A(x + (c + 1)c^{s-1})$	*	$A(x)$

Table 4

An opponent schedule isomorphic to the schedules in Tables 2 and 3

Team\round	$2s - 1$	$2s$	$2s + 1$
1	3	2	4
2	4	1	3
3	1	*	2
4	2	*	1

**Lemma 2.** Any home-away assignment for the schedule in Table 4 (or equivalently, for the schedules in Tables 2 and 3) induces at least two breaks between the rounds  $2s - 1$ ,  $2s$ , and  $2s + 1$ .

**Proof.** The statement concerns only five matches, and can easily be verified by enumerating all corresponding home-away assignments. (A crucial observation on the irrelevant asterisk entries: If team 3 plays one of its two matches against teams 1 and 2 at home and the other one away, then it must incur a break between rounds  $2s - 1$  and  $2s$  or between rounds  $2s$  and  $2s + 1$ . An analogous observation holds for team 4.)  $\square$

Hence, for every  $s$  with  $1 \leq s \leq k - 1$  there are  $k/2$  corresponding quadruples in  $\mathcal{P}_s$ , that each induce at least two breaks between rounds  $2s - 1$ ,  $2s$ , and  $2s + 1$ . Altogether, this yields at least  $k(k - 1) = \frac{1}{4}n(n - 2)$  breaks.

**Theorem 3.** For  $n = 2^m$  teams with  $m \geq 2$ , there exists an opponent schedule for which every home-away assignment induces at least  $\frac{1}{4}n(n - 2)$  breaks.

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