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Feedback Decomposition of Nonlinear Control Systems

HENK NIJMEIJER

Abstract—By using the recently developed (differential) geometric approach to nonlinear systems, a feedback decomposition for nonlinear control systems is derived:

I. INTRODUCTION

Consider a control system of the form

$$\dot{x} = A(x) + \sum_{i=1}^m B_i(x) u_i \quad (1.1a)$$

$$z_i = H_i(x), \quad i = 1, \dots, m \quad (1.1b)$$

where x are local coordinates of a smooth n -dimensional manifold M , A, B_1, \dots, B_m are smooth vector fields on M , and $H_i: M \rightarrow N_i$ is a smooth output map from M to a smooth $p_i - (p_i \geq 1)$ dimensional manifold N_i for $i = 1, \dots, m$. We assume that each $H_i, i = 1, \dots, m$, is a surjective submersion. Furthermore, we will assume that the system (1.1a) is strongly accessible (see [12]).

In this note we will study the *static state feedback noninteracting control problem*. That is (see [4]), we seek a control law of the form

$$u = \alpha(x) + \beta(x)v \quad (1.2)$$

where $\alpha: M \rightarrow \mathbb{R}^m, \beta: M \rightarrow \mathbb{R}^{m \times m}$ are smooth maps, $\beta(x) = (\beta_{ij}(x))$ is nonsingular for all x in M , and $v = (v_1, \dots, v_m)' \in \mathbb{R}^m$. Let $\tilde{A}(x) = A(x) + \sum_{i=1}^m B_i(x)\alpha_i(x)$ and $\tilde{B}_i(x) = \sum_{j=1}^m B_j(x)\beta_{ji}(x)$. Then, in suitable local coordinates the modified dynamics $\dot{x} = \tilde{A}(x) + \sum_{i=1}^m \tilde{B}_i(x)v_i$ should read

$$\begin{pmatrix} \dot{x}_1 \\ \dot{x}_2 \\ \vdots \\ \dot{x}_m \end{pmatrix} = \begin{pmatrix} \tilde{A}_1(x_1) \\ \tilde{A}_2(x_2) \\ \vdots \\ \tilde{A}_m(x_m) \end{pmatrix} + \begin{pmatrix} \tilde{B}_1(x_1) & & & \\ & \tilde{B}_2(x_2) & & \\ & & \ddots & \\ & & & \tilde{B}_m(x_m) \end{pmatrix} \begin{pmatrix} v_1 \\ \vdots \\ v_m \end{pmatrix} \quad (1.3a)$$

$$\begin{cases} z_1 = H_1(x_1) \\ \vdots \\ z_m = H_m(x_m) \end{cases} \quad (1.3b)$$

where $x = (x_1, \dots, x_m)$ with each x_i and z_i possibly being a vector. For linear systems, the above problem—the restricted decoupling problem (RDP)—has been solved under the additional assumption that the set of outputs is "complete"; i.e., $\cap_{i=1}^m \ker H_i = 0$ (see [13]). In the solution we

present here, we use as key tools the so-called (regular) controllability distributions introduced in [8]. In this way, our approach completely fits in the systematic work on the generalization of Wonham's geometric approach to linear systems (see, e.g., [3]–[10]). We note that a *parallel decomposition* as in (1.3a) has been studied in [11]. We also note that similar results are derived in [4] and in a different style in [1]. The main purpose of this note is to show that the solution of the nonlinear RDP can also be derived by directly generalizing the theory of [13].

II. PROBLEM FORMULATION

Recall the following definitions (see [3]–[9]).

Definition 2.1: An involutive distribution D of fixed dimension, on M , is *controlled invariant* for the system (1.1a) if there exists a feedback of the form (1.2) such that the modified dynamics $\dot{x} = \tilde{A}(x) + \sum_{i=1}^m \tilde{B}_i(x)v_i$ leave D invariant; i.e.,

$$\begin{aligned} [\tilde{A}, D] &\subset D \\ [\tilde{B}_i, D] &\subset D, \quad i = 1, \dots, m. \end{aligned}$$

Definition 2.2: An involutive distribution D of fixed dimension, on M , is a *regular controllability distribution* of the system (1.1a) if it is controlled invariant for the system, and moreover,

$$D = \text{involutive closure of } \{ad_{\tilde{A}}^k \tilde{B}_i | k \in \mathbb{N}, i \in I\}$$

for a certain subset $I \subset \{1, \dots, m\}$.

Instead of the above notion of controlled invariance, it is sufficient to use a somewhat weaker concept.

Definition 2.3: An involutive distribution D of fixed dimension, on M , is *locally controlled invariant* for the system (1.1a) if locally around each point $x_0 \in M$ there exists a feedback of the form (1.2) such that the modified dynamics $\dot{x} = \tilde{A}(x) + \sum_{i=1}^m \tilde{B}_i(x)v_i$ leave D invariant.

Similarly, one defines a local version of Definition 2.2: the regular local controllability distributions.

In considering the static state feedback noninteracting control problem, we seek regular local controllability distributions R_1, \dots, R_m defined by

$$R_i := \text{involutive closure of } \{ad_{\tilde{A}}^k \tilde{B}_i | k \in \mathbb{N}\} \quad (2.1)$$

where \tilde{A} and \tilde{B}_i are as in (1.3a), $i = 1, \dots, m$.

Remark: In the local coordinates of (1.3a) we see that $R_i = \text{span}\{\partial/\partial x_i\}$, and clearly each distribution R_i satisfies $[\tilde{A}, R_i] \subset R_i$ and $[\tilde{B}_j, R_i] \subset R_i, j = 1, \dots, m, i = 1, \dots, m$.

Assuming (2.1), we see that

$$R_i \subset \ker H_j, \quad j \neq i, \quad i, j = 1, \dots, m \quad (2.2)$$

which means exactly that $v_j(\cdot)$ does not affect the output $z_i(\cdot)$, for $j \neq i$. Second, we have the nonlinear version of *output controllability*; that is,

$$H_i \circ (R_i) = TN_i \quad i = 1, \dots, m. \quad (2.3)$$

This follows from the fact that the system (1.1a) is strongly accessible, so that (1.3a) is also strongly accessible. But then each of the systems $\dot{x}_i = \tilde{A}_i(x_i) + \tilde{B}_i(x_i)v_i$ is strongly accessible, and by the fact that the map H_i is a surjective submersion we see that the set of reachable output values has nonempty interior in N_i for all $i = 1, \dots, m$.

Thus, the static state feedback noninteracting control problem can be stated as follows.

Given the system (1.1a) (1.1b), find (if possible) a local feedback law of the form (1.2) such that (2.2) and (2.3) hold for the distributions R_i defined by (2.1). Now, as in the linear case, there is a compatibility problem (see [13]). Clearly, if we have controlled invariant distributions D_1, \dots, D_m , then by no means does it follow that there exists a local feedback (1.2) which leaves each of them invariant. Therefore, we make the following assumption:

Manuscript received June 24, 1982.

The author was with Stichting Mathematisch Centrum, Kruislaan 413, 1098 SJ Amsterdam, The Netherlands. He is now with the Department of Applied Mathematics, Twente University of Technology, Enschede, The Netherlands.

$$\bigcap_{i=1}^m \ker H_i = 0 \tag{2.4}$$

which means that the map

$$H: M \rightarrow N_1 \oplus N_2 \oplus \dots \oplus N_m, \quad H(x) = (H_1(x), \dots, H_m(x))$$

is locally injective.

III. MAIN THEOREM

Define R_i^* := supremal regular local controllability distribution in

$$\bigcap_{j=i}^m \ker H_j, \quad i = 1, \dots, m.$$

Remark: R_i^* is well defined (see [6], [8]), but the dimension probably is not fixed.

Theorem 3.1: Under the assumption (2.4) and the assumption that each R_i^* has fixed dimension, $i = 1, \dots, m$, the static state feedback noninteracting control problem is solvable in a local fashion if and only if

$$R_i^* + K_i = TM. \tag{3.1}$$

Proof: Assume (3.1) holds, then (2.2) and (2.3) are true for R_i^* . We show next that the $K_i := \bigcap_{j=i}^m \ker H_j, i = 1, \dots, m$, are independent. Indeed,

$$\begin{aligned} \hat{K}_i \cap \sum_{j=i}^m \hat{K}_j &= \left(\bigcap_{r=i}^m \ker H_r \right) \cap \sum_{j=i}^m \left(\bigcap_{s=j}^m \ker H_s \right) \\ &\subset \left(\bigcap_{r=i}^m \ker H_r \right) \cap \ker H_i = \bigcap_{r=i}^m \ker H_r = 0. \end{aligned}$$

Since $R_i^* \subset \hat{K}_i, i = 1, \dots, m$, it follows that the R_i^* are independent. In the next step, we will show that the R_i^* are compatible; i.e., there is a local feedback (1.2) which leaves each of the distributions R_i^* invariant. From (3.1) we see that for each $i = 1, \dots, m, R_i^* \neq 0$. For if $R_i^* = 0$ for an $i \in \{1, \dots, m\}$, then $K_i = TM$, which means that $z_i = H_i(x)$ is constant. Therefore, we know by the independence of the R_i^* that locally there exist independent vector fields $0 \neq \tilde{B}_i$ with $\tilde{B}_i \in R_i^* \cap \text{span}\{B_1, \dots, B_m\}, i = 1, \dots, m$. So, $\text{span}\{B_1, \dots, B_m\} = \text{span}\{\tilde{B}_1, \dots, \tilde{B}_m\}$. We also have that $\dim R_i^* \geq p_i$ (by assumption R_i^* has fixed dimension), and thus from the independency of the R_i^* , we have $R_1^* + \dots + R_m^* = TM$. Thus, the distributions R_1^*, \dots, R_m^* are *simultaneously integrable* (see [11, Definition 3.1 and Lemma 3.1]). So locally around each point $x_0 \in M$ there exist coordinates such that $R_i^* = \text{span}\{\partial/\partial x_i\}, i = 1, \dots, m$, with each x_i possibly being a vector. Now, from the fact that the distributions R_i^* are locally controlled invariant we have

$$[\tilde{B}_i, R_j^*] \subset R_j^* + \text{span}\{\tilde{B}_1, \dots, \tilde{B}_m\}, \quad i = 1, \dots, m \tag{3.2a}$$

$$[A, R_j^*] \subset R_j^* + \text{span}\{\tilde{B}_1, \dots, \tilde{B}_m\} \tag{3.2a}$$

for all $j = 1, \dots, m$.

From (3.2a) we see that

$$\begin{aligned} [\tilde{B}_1, R_2^* + \dots + R_m^*] &\subset R_2^* + \dots + R_m^* + \text{span}\{\tilde{B}_1, \dots, \tilde{B}_m\} \\ &= R_2^* + \dots + R_m^* + \text{span}\{\tilde{B}_1\} \end{aligned} \tag{3.3}$$

where the last equality follows from the fact that $\tilde{B}_1 \in R_1^*, i = 1, \dots, m$. Note also that the distribution $R_2^* + \dots + R_m^*$ is involutive, cf. [11]. Now, from (3.3) and [5], [7] it follows that there locally exists a vector field \tilde{B}_1 such that $\text{span}\{\tilde{B}_1\} = \text{span}\{\tilde{B}_1\}$ and $[\tilde{B}_1, R_2^* + \dots + R_m^*] \subset R_2^* + \dots + R_m^*$. Therefore, in the coordinate system constructed above we have that $\tilde{B}_1(x) = (\tilde{B}_1(x_1), 0, \dots, 0)^t$.

Similarly, we construct vector fields $\tilde{B}_i, i = 2, \dots, m$, such that $[\tilde{B}_i, R_1^* + \dots + R_{i-1}^* + R_{i+1}^* + \dots + R_m^*] \subset R_1^* + \dots + R_{i-1}^* + R_{i+1}^* + \dots + R_m^*$ and $\text{span}\{\tilde{B}_i\} = \text{span}\{\tilde{B}_i\}$. Thus,

$$B_i(x) = (0, \dots, 0, B_i(x_i), 0, \dots, 0)^t.$$

Next, from (3.2b) we see that

$$[A, R_2^* + \dots + R_m^*] \subset R_2^* + \dots + R_m^* + \text{span}\{\tilde{B}_1\} \tag{3.4}$$

and therefore we can construct a local feedback $u = \tilde{B}_1(x)\alpha_1(x)$ such that $A(x) = A(x) + \tilde{B}_1(x)\alpha_1(x)$ satisfies (cf. [3]) $[A, R_2^* + \dots + R_m^*] \subset R_2^* + \dots + R_m^*$. Similarly, for the distribution $R_1^* + \dots + R_{i-1}^* + R_{i+1}^* + \dots + R_m^*$, we construct a feedback $u = \tilde{B}_i(x)\alpha_i(x)$ such that the modified dynamics leave this distribution invariant. Finally, by applying the total feedback $u = \tilde{B}_1(x)\alpha_1(x) + \dots + \tilde{B}_m(x)\alpha_m(x)$, we obtain $A(x) = (A_1(x_1), A_2(x_2), \dots, A_m(x_m))$. So we have established a local feedback (1.2) such that the modified dynamics are as in (1.3a), and also from (3.1) (1.3b) is satisfied. Furthermore, we note that each system $\dot{x}_i = A_i(x_i) + B_i(x_i)v_i$ is strongly accessible, and we have that

$$R_i^* = \text{involutive closure of } \{ad_{\tilde{B}_i}^k B_i | k \in \mathbb{N}\}, \quad i = 1, \dots, m.$$

Conversely, from the fact that the R_i^* are supremal relative to the condition (2.2) and from (2.3)—which is equivalent to $R_i + K_i = TM$ —it follows that (3.1) is necessary. \square

IV. REMARKS

1) In [11, Lemma 3.1] the distributions D_1, \dots, D_L should be independent; i.e., for each disjoint subset I_1 and I_2 of $\{1, \dots, L\}$, one has that $D^{I_1} \cap D^{I_2} = 0$.

2) $[ad_{\tilde{B}_i}^k \tilde{B}_i, ad_{\tilde{B}_j}^l \tilde{B}_j] = 0$ for all $k, l \in \mathbb{N}$ and $i \neq j$ (see also, [11]).

3) If the number of output channels is smaller than the number of inputs, the above procedure still works in a slightly modified way. Namely, there are more than one independent vector fields \tilde{B}_i in $R_i^* \cap \text{span}\{B_1, \dots, B_m\}$, and/or there exist some additional input vector fields \tilde{B}_k which do not belong to one of the distributions R_i^* , but—after applying feedback—also have the form $\tilde{B}_k(x) = (\tilde{B}_k^1(x_1), \tilde{B}_k^2(x_2), \dots, \tilde{B}_k^m(x_m))^t$. These vector fields are superfluous for the whole control synthesis of the system.

4) Each of the systems $\dot{x}_i = \tilde{A}_i(x_i) + \tilde{B}_i(x_i)v_i, z_i = H_i(x_i)$ is strongly invertible (see [2]). This has also been clarified in a geometric way in [9], and follows directly from the condition that $R_i^* + K_i = TM$, so R_i^* is not contained in $\ker H_i$. We also note that the situation described in Theorem 3.1 is even more special. Namely, the system $\dot{x}_i = \tilde{A}_i(x_i) + \tilde{B}_i(x_i)v_i$ is strongly invertible with respect to each of the components of the output z_i .

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